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APPEAL NO. 139 of 1984

32/85

In the Privy Council

ON APPEAL FROM THE COURT OF APPEAL OF HONG KONG

BETWEEN

LAU HO WAH - - - - - - - - - - - Appellant (Applicant)

AND

YAU CHI BIU - - - - - - - Respondent

RECORD

DIRECTOR OF LEGAL AID

Solicitors for the Appellant (Applicant)

HAMPTON, WINTER & GLYNN Solicitors for the Respondent

In the Privy Council

ON APPEAL FROM THE COURT OF APPEAL OF HONG KONG

BETWEEN

LAU HO WAH - - - - - - - - - - - - Appellant (Applicant)

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In the Privy Council

ON APPEAL

FROM THE COURT OF APPEAL OF HONG KONG

Civil Appeal No. 139 of 1984

(On Appeal from Victoria District Court of Hong Kong Employees' Compensation Case No. 140 of 1983)

BETWEEN

LAU HO WAH - - - - - - - - - - - Appellant (Applicant)

AND

YAU CHI BIU - - - - - - - Respondent

RECORD

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In the Victoria District Court of Hong Kong

(Employees' Compensation Case No. 140 of 1983)

FORM 1 (APPLICATION BY INJURED EMPLOYEE)

Form 1 (Application by Injured Employee with respect of the Compensation Payable to him.)
Rule 16. Cap. 282

In the
Victoria
District Court
of Hong Kong
Employees'
Compensation
Case

IN THE DISTRICT COURT OF HONG KONG
HOLDEN AT VICTORIA

No. 1 Form 1 (Application by Injured Employee)

EMPLOYEES' COMPENSATION CASE NO. 140 OF 1983

IN THE MATTER OF AN APPLICATION BETWEEN:

10

LAU HO WAH

Applicant

and

YAU CHI BIU

Respondent

- 1. On the 24th day of September, 1982, personal injury by accident arising out of and in the course of employment was caused to Lau Ho Wah, an employee employed by Yau Chi Biu (or by-----for the-execution-of-work-undertaken-by-him):
- 2. A question has arisen as to the liability 20 of the said Respondent to pay compensation under the Ordinance in respect of the said injury.

3. An application under the Ordinance is hereby made by the said Lau Ho Wah for the determination of the said question and for the following relief or order:

Employees' Compensation under Sections 9 & 10 of the Ordinance.

No. 1 Form 1 (Application by Injured Employee)

PARTICULARS

- 4. Particulars are hereto appended :-
 - (1) Name and address of Applicant:

(Continued)

Lau Ho Wah 16, North Street, 5th floor, Flat A, Hong Kong. 10

(2) Name, place of business, and nature of business of Respondent:

Yau Chi Biu, Block 2, Room 406, Wong Chuk Hang Estate, Hong Kong. (Telephone No. 5-528056)

(3) Nature of employment of applicant at 20 time of accident and whether employed under respondent or under a contractor with him. (If employed under a contractor who is not a respondent, name and place of business of contractor also to be stated.):

The applicant was employed by the Respondent as a delivery worker.

(4) Date and place of accident, nature of work on which employee was then 30 engaged and nature of accident and cause of injury:

On 24th September, 1982 at Pokfulam Road near Ebenezer School for the

Blind, the Applicant fell down from a goods vehicle and sustained a head injury in the course of his employment.

(5) Nature of injury:

Head injuries.

(6) Particulars of incapacity for work, whether temporary or permanent, and if permanent whether total or partial, and if temporary, estimated duration of incapacity:

Total incapacity from 24.9.1982 to date and continuing.

(7) monthly Average earnings of the employee with the employer at the time of the accident causing incapacity or if, by reason death. or of shortness of the time during which the employee has been in the employment of the employer, it is impracticable to 20 compute the average monthly earnings, then the amount which the employee claims should be taken as his average monthly earnings and the ground upon which that amount is claimed:

\$1,900.00 per month.

(8) Average monthly amount which the applicant is earning or is able to earn in some possible employment after the accident:

30 Unknown.

(9) Payment, allowance, or benefit received from employer during the period of incapacity:

\$2,500.00.

In the
Victoria
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Case

No. 1 Form 1 (Application by Injured Employee)

(10) Amount claimed as compensation:

To be assessed by Court.

(11) Date of giving notice of accident to respondent :

> No formal notice given the to Respondent.

(12) If notice not given. reason for omission to give such notice :

> The Respondent was fully aware of the accident.

(Continued)

No. 1

Form 1

(Application

by Injured

Employee)

The name and address(es) of the Applicant (and his Counsel or Solicitor) are :-

Lau Ho Wah Of the Applicant:

26, North Street. 5th floor, Flat A, Hong Kong.

Of his Counsel or Solicitor

Director of Legal Aid, Legal Aid Department, 20 Sincere Building, 19/F., 173 Des Voeux Rd., C., Hong Kong.

The name and address of the Respondent to he served with this application are:

> Yau Chi Biu, Block 2, Room 406 Wong Chuk Hang Estate, Hong Kong. (Telephone No. 5-528056)

30

10

Dated this 4th day of October, 1983.

(Sgd.) (R.A. Davies) for Director of Legal Aid on behalf of the Applicant

FORM 4 (NOTICE TO RESPONDENT)

20

TAKE NOTICE that, if you intend to oppose the application of which a copy is served upon you herewith, you must lodge with me, within twenty-one days after the service of this notice upon you, a written answer thereto containing a concise statement of the extent and grounds of your opposition.

AND FURTHER TAKE NOTICE that Wednesday the 10 23rd day of November, 1983 at 10.00 a.m. or so soon thereafter as the application can be heard at the Victoria District Court at Victoria has been fixed as the time and place for the hearing of the application and that in default of your lodging with me within the time aforesaid a written answer as herein required, or of your appearing at the said time and place fixed for the hearing of the application, such order may be made as the Court deems just and expedient.

Dated this 8th day of October, 1983.

(Sgd.)
(Y.Y. Pau)(Mrs.)
for Deputy Registrar
Victoria District Court

In the
Victoria
District Court
of Hong Kong
Employees'
Compensation
Case

No. 2 Form 4 (Notice to Respondent)

JUDGE'S NOTES

No. 3 Judge's Notes 23rd November, 1983.

Coram: H. Wong, D.J. in Court.

Mrs. Lauder of D.L.A. for Applicant.

Respondent, YAU Chi-biu appears in person.

Hearing commences at 11 a.m.

Mrs. Lauder (for Applicant):

I ask for (a) judgment to Applicant against Respondent on liability, (b) a statement of the Applicant's earnings from the Respondent, to be 10 supplied within 14 days from to-day, (c) costs to the Applicant against Respondent to be taxed on accordance Scale in with Legal Regulation, if not agreed and (d) a date to be Deputy Registrar for fixed by assessment οf compensation (1/2 day is required).

Note: The Court explained the position to Respondent.

Respondent :

I went to Legal Aid Department and saw a solicitor there. The Accused fell off my vehicle 20 which was insured. I have no money and leave the matter to the Court to decide, although I admit that the Applicant received his injuries while working for me.

Court :

- (a) Judgment to Applicant against Respondent on liability.
- (b) Costs to Applicant against Respondent to be taxed on Upper Scale in accordance with Legal Aid Regulations, if not agreed.
- (c) Respondent to supply Applicant's counsel with a statement of the Applicant's earnings, within 14 days from to-day.

- 6 -

(d) Deputy Registrar to fix hearing date for assessment of compensation. (1/2 day).

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Sgd. (H. Wong) District Judge (11.10 a.m.)

No. 3 Judge's Notes (Continued)

- 7 -

20th June, 1984.

Coram : H. Wong, D.J. in Court.

Mr. Davies of D.L.A. for Applicant.

Mr. Neil Owen of Hampton Winter & Glynn for Respondent.

No. 3 Judge's Notes

(Re: Assessment of Compensation.)

(Continued)

Hearing commences at 12.35 p.m.

<u>Davies</u> (For Applicant):

I'll deal with medical evidence first and produce :-

10

- 1) certificate of payment for hospitalization
 Exh. Al -
- 2) copy of Form 2 Exh. A2 -
- 3) certificate of hospital fees Exh. A3 -
- 4) sick leave certificates Exh. A4 -

A.W.1 Dr. Fali J. SHROFF - Affirmed in English :

My qualifications are: MBBS, FRCS (Ed.). I have practised as a neuro-surgeon for 15 years, of which 5 years were spent in London and 10 years in Hong Kong.

20

I have examined the Applicant and have prepared 2 reports in respect thereof. I now produce them. - Exh. A5/A6 -

As a result of a clinical examination of the Applicant's condition, I decided to perform a highly specialised investigation called computerized tomography of the brain. A scanning test showed definite damaged to the Applicant's right frontal lobe of the brian which is consistent with trauma.

That scanning also showed a slight dilation of the ventricle of the brain. It could mean a brain damage of a defused manner and is in addition to the localized damage to the frontal lobe. My opinion of the revelation from the scanning is that, in conjunction with the loss of consciousness by the Applicant for a long time, there was a brain damage and a defused (opposite to localized) brain damage taken as a whole. I 10 produce 3 sets of X-Ray. - Exh. A7a/c -

In p.2. of my report dated 9/8/84 I made reference to Professor B. Jennett on a scale which is almost universally adopted nowadays.

I had occasion to compile another report on 1/10/84 after I had the advantage of reading a report on the Applicant by Mrs. Lee. I say that the Applicant would need a period of sick leave of 6 to 9 months from the accident. I would add that up to 4 years after the accident the Applicant's 20 chance of having epilepsy is 5%. Since the Applicant had some weakness at his left limb when I examined him, I feel that he could engage himself in light work.

As to the loss of earning capacity I would say that it should be between 60% and 70%.

Cross-examination - Owen

Q. When you said that "it could mean some brain damage of a defused manner", could it mean something else as well?

30 A. Yes.

The dilation of the ventricle was slight. the weakness of the left limb would account for 30% and the balance of 30%-40% of loss of earning capacity would be due to psychological effect. In p.2 of my first report I said "it is possible ... ameliorate". By that I meant that up to a period of 3 years one could see some improvement on the post-concussional symptoms, such as head-ache, dizziness etc. I have not examined the Applicant

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No. 3 Judge's Notes

No. 3 Judge's Notes (Continued) since August 1983, so that there may be some post-concussional improvement since then. My examination of the Applicant was a clinical one. Mrs. Lee would confirm what I initially suspected, although she might have gone more into detail on the psychological aspect.

It might be possible for the Applicant to take up work on part-time basis in March 1983, which was 6 months after the accident. Any person can have or develop epilepsy but the main causes for it would be at birth, head injury, old-age etc.

I examined the Applicant at some 11 months of the accident. The 5% chance of his developing epilepsy would last for 4 years from the date of accident. A person suffering the trouble suffered by the Applicant would not be able to work as a coolie in a fruit market because of the danger of epilepsy developing. He would thus not be able to push a trolley, because he might top it over and hit someone. All I know is that the Applicant was a poster at a fruit market at the material time.

Re-examination - Davies

I got a report from Mrs. Lee in September, 1983 from which I drafted my second report of 1/10/83.

A.W.2 LEE TAM Oi-chun - Affirmed in English :

My qualifications are MSc (Ed. Psychology) from London University, B.A. (Hrs) D. Ed. I have practised psychology since 1973 and started my private practice as a psychologist 7 years ago in Hong Kong.

I had examined the Applicant, who was referred to me by Dr. Shroff, and have prepared a report on him. I now produce that report. - Exh. A8 -

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In p.3 of my report I list a variety of tests that Ι used, including intelligence, conceptional functioning and metality control. intellectual functioning I found that the Applicant was on the borderline of intellectual ability which would, from the brain or I.Q., be from 70 to 79. My estimation of his intelligence before the accident was on low average, because of his low education and his work as a farmer in China. His present 10 level compared to his pre-accident level would represent a deterioration of 10 I.Q. points (the average I.Q. of a normal person is 95 - 105 I.Q. points).

In the Victoria District Court of Hong Kong Employees' Compensation Case

No. 3 Judge's Notes

(Continued)

In term of intellectual functioning the Applicant was found to be deficient in abstract conceptual ability, i.e., to see in the abstract or to understand things through a principle, e.g. chair and table are furniture. A person deficient in abstract symbolic functioning would not say that those 2 items are furniture. The Applicant was not able to change things into conceptual scheme as an ordinary man would. In other words, he does not have the flexibility to categorize things and would stick to the same views on things.

At present the Applicant can only do mechanical manual work which does not require thinking. I did not carry out a test on symbolic functioning of the Applicant.

After the accident, the Applicant had lost 30 memory of it and the subsequent hospitalization. Testing revealed that his memory ability for both short and long term reproduction is weaker than average. He would thus have difficulty in obtaining new knowledge.

Spatial relationship deals with relationship in space. Perception has to be learned. For example, a horizontal article might be seen as slanting by a person with brain damage. At the test the Applicant was at first surly and brusque, but improved later. In fact, before I carried out a test, I had to make the person to be tested feel at home and comfortable, as that he could put up

No. 3 Judge's Notes

(Continued)

his best performance. With loss of memory the Applicant would be absent-minded.

Cross-examination - Owen

When I was asked to test the Applicant I was not looking at how his work would be affected but to find his mental ability deficit. Naturally, mental ability deficit would affect his work. In other words, I tried to determine how his function were affected by the inquiries. Also, I endeavoured to find how the symptoms he suffered would affect his ability to earn a living. The mental deficit suffered by the Applicant could only come from brain damage. I myself had my primary schooling in Hong Kong.

I was told by the Applicant that he worked at a collective farm in China and had used an abacus then. The fact that the Applicant worked as a farmer and was of primary shoool level does not necessarily mean that he had pre-accident low I.Q.. At his present level of mental functioning 20 he would not have been able to finish primary school anywhere. I have no personal experience of primary school in China but I have knowledge of it from reading.

It would not be difficult for the Applicant to go back to his job, by pushing a trolley from point A to point B or by assisting people doing similar work. The difficulty to him now is to take care of himself in relation to others and to life generally, rather than to his normal work.

30

There are certain behavioural aspects which are due to brain damage, and which a normal person would not display. When I tested the Applicant, I found certain defects in him on that day. I had not seen him before then, so that I had to presume that he was normal before the accident.

- Q. Would you agree that he could be sick before the accident?
- A. I cannot say whether I agree or disagree.

- Q. Do you agree that there is no way for you to say categorically that the Applicant had not exhibited those defects before the accident.
- A. I would say that the onus is on you to prove that before the accident he had those defects.
- Q. Could it be impossible that the Applicant feigned some defects to obtain compensation?

10 A. He could do so on certain points, such as the name of the governer, but not on spatial conceptional functioning, examples of which are so simple that people cannot expect to feign. In the Applicant's case I say that he did not feign at all.

Owen (for Respondent):

I ask for leave to call a doctor first in order to have him released early.

<u>Davies</u> (for Applicant) :

No objection.

Court: Agree.

R.W.1 Dr. NG Shi-hon - Affirmed in English:

My qualifications are MBBS (HK) MRCP (HK). I am a neurologist and have practised as such for 6 years, five of which with Government and one in private practice. I am a past President of the Society of Neurologists.

I have examined the Applicant and have prepared a report on him. I now produce my report. 30 - Exh. R1 -

"Primitive reflexes' came from bedside examination, as compared with one by expensive equipment. At p.2 para. (c) of my report. I say that damage to the right frontal lobe that the

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No. 3 Judge's Notes

No. 3 Judge's Notes

(Continued)

Applicant suffered would be less sever than the left one. That menas that there is a chance that high mental function could be compensated with the passage of time up to 3 years.

In the majority of cases involving brain damage there is a possibiltiy of development of 5% of epilepsy. However in the Applicant's case, there was no instance of epileptic attacks since the incident to the date that I saw him over a year later. This means that the chance of his having epilepsy would be much less. I would say that the period in which epilepsy could develop would be up to even 10 years.

10

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The impairment of the Applicant's motor power of the upper and lower limbs in permanent. I estimate that it would accound for 30% at loss of earning capacity. The Applicant's high mental deficit would add another 20% - 30% to that loss and is attributable to the post traumatic syndrome complex. Some patients may recover from this syndrome within 3 years. If there is improvement in the Applicant's condition, that would be about 5% - 10% within 3 years of the accidnet.

I have experience in the type of psychometric test carried out by Mrs. Lee, because in the course of my work I have to read reports thereon. All I can say is that such tests are not absolute, though I do not wish to comment on the work of another profession.

Cross-examination- Davies

I have read Dr. Shroff's report. I agree with him about the partial loss of function of the Applicant's limbs. In the past post-traumatic syndrome was on psychological aspect, whilst now it is in the majority of cases on organic side.

Court to R.W.1:

What is the difference between the work of Dr. Shroff and yours?

- 14 -

R.W.1: Dr. Shroff is a neuro-surgeon and operate on patients, whereas I am a neurologist and treat people who are affected with organic nervous system disease.

In the
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Further Re-exaimation - Owen

There is some overlapping between Dr. Shroff's work and mine.

No. 3 Judge's Notes

(Continued)

10 Court : Short adjournment (3 p.m.)

Case resumes at 3.05 p.m.

Appearance as before.

Davies (for Applicant) :

I'll call the Applicant.

A.W.3 LAU Ho-wah (Applicant) - Affirmed in Punti :

I resumed employment in July 1983 as a cleaning worker. I put up 10 to 20 days' work a month and am paid \$50 - \$60 in daily wages. On average I now earn \$1,800.00 a month, whereas 20 before the accident my monthly income was \$1,900. I am from Tungkoon and could not fully understand what the Interpreter said in Cantonese.

<u>Tse</u> (Interpreter) :

To my knowledge, Tungkoon is more or less Cantonese with a slightly different accent and I doubt that there is a Tungkoon Interpreter within the Judiciary.

Davies :

In view of what the Applicant has said, i.e., he wishes to speak in Tungkoon and have the questions put to him in that dialect, I ask for an

adjournment now to have a Tungkoon Interpreter available at the resumed hearing.

Owen (for Respondent) :

I have no objection to an adjournment but would ask for costs, because if the case were to proceed now there might be a chance of seeing the end of it to-day.

No. 3 Judge's Notes

Davide (for Applicant) :

(Continued)

I leave the matter to the Court.

Court :

10

We have sat continuously and through lunch hours in the hope of completing the case to-day. However it so happened that the Applicant asked for an Intepreter in Tungkoon which, although I gather, is a dialect not greatly dissimilar to Cantonese, yet it is his right which has to be complied with for justice to be done and seen to be done. I therefore grant the applicantion for adjournment and will see if a Tungkoon Interpreter could be obtained.

20

Owen (for Applicant) :

I have 2 witnesses and suggest that half day be reserved for the completion of this case.

Davies (for Applicant) :

I agree.

Court :

- (a) Adjourned to a date to be fixed by Deputy Registrar in consultation with counsel.
- (b) No order as to costs of this adjournment.

(c) Tungkoon Interpreter to be required.

Sdg. (H. Wong) District Judge (3.50 p.m.) In the
Victoria
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of Hong Kong
Employees'
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No. 3 Judge's Notes

24th July, 1984.

Case resumes at 10.20 a.m.

Appearances as before.

Mr. CHAN Wun-chi, Tungkoon Interpreter is present.

No. 3 Judge's Notes Note : CHAN WUn-chi was sworn as Tungkoon Interpreter.

(Continued)

Note: A.W.3 (Applicant) was recalled and reaffirmed in Tungkoon.

Examination-in-chief (continued)

10

The scope of my current job consists of cleaning the floor and wiping tables. I began duty in August 1983 and presently earn \$1,000 - \$2,000 a month. Before August 1983 I did not work.

As a result of the accident from a fall I suffered injury at the head and weakness at the left leg. I also had frequent headache and that ache still remains. I cannot read newspaper or watch television.

Before the accidnet I pushed handcarts 20 loaded with fruits and also went on board delivery vans. I then worked every day without holiday and my average wages were \$1,900 a month.

Cross-examination - Owen

Respondent paid my medical bills, which consisted of \$150 to Queen Mary Hospital. As regards brain scanning fees of \$1,500 my brother should know about that.

Q. Did Respondent continue to pay you \$1,266.00 a month to 30/1/83?

A. I don't know how much I was paid by Respondent but got the impression that I received \$3,000 from Respondent as sick leave pay.

After the accident I had taken sick leave certificates to Respondent but can't remember how much I got. All I can say is that it seems that I received \$3,000 from Respondent in all in that regard.

O. SUGGEST - Respondent paid you sick leave pay totalling \$6,000?

A. I disagree.

I know that I should be paid 2/3 of wages during my sick leave and had gone back to see Respondent to get money for my living.

- Q. Do you remember that in January 1983 you went to see Respondent and were offered a job in his office because you could not lift heavy weight?
- 20 A. He did not make that offer.
 - Q. SUGGEST You put up 23 or 24 days' work a month during your employ with Respondent?
 - A. Not so.
 - O. SUGGEST Your average wages from Respondent were \$1,500 \$1,600 a month?
 - A. Not so.
 - Q. Were you daily wages at \$64?
 - A. They were.
- Q. Were you examined by a medical board on 14/3/83?
 - A. I can't recall.

In the Victoria District Court of Hong Kong Employees' Compensation Case

No. 3 Judge's Notes

No re-examination - Davies

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A.W. 4 LAU Kun-tong - Affirmed in Tungkoon :

No. 3 Judge's Notes

I reside at No.26, Butt Street, 5/F., Hong Kong and am a collie. I have lived with Applicant for 3 or 4 years and am his cousin. We have known each other since childhood.

(Continued)

After the accident, both the Applicant's mentality and behaviour have changed. For instance (a) if I asked him to pick up bowls and chopstricks to wash after meal he would ignore me altogether; (b) on occasions he picked up a razor to cut his hand and used the blood to write words; (c) he held newspaper upside down while trying to read them and (d) he sat in front of a T.V. and each time dozed off. At present, when the Applicant was not feeling well I would stand in for him at his job.

- Q. Did you help the Applicant to get a job?
- A. No. I don't know what he could and whether 20 people would employ him.
- Q. When did he resume work after the accident?
- A. I can't remember, although I took care of him when he rested at home after the accident.

Cross-examianation - Owen

I don't know the Applicant's present wages. If I stood in for him wages would still be paid to him by his employer.

O. Do you remember that about 6 months after 30 the accident, the Applicant was examined by a medical board?

A. I accompanied him to that examination but can't remember the date thereof. I was present during that examination but was not told anything by the doctors about that test. What I overheard from those doctors was that the Applicant's brain was normal.

I also accompanied the Applicant to see Dr. Shroff. In fact I went with him whenever he had to see a doctor. I further was in his company when he consulted the Legal Aid Department for compensation.

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No. 3 Judge's Notes (Continued)

A.W.5 YAN Chung-sum - Affirmed in Punti :

I reside at No. 99 Hennessy Road, 13/F., Flat D, Hong Kong and am a cleansing worker. The Applicant is in my employ and was hired by me in April 1983. It was through an introduction of a remote relative that I recruited the Applicant.

Between April and August 1983 the Applicant 20 was employed by me but had a relief worker to stand in for him most of the time. So, although his wages were at \$2,000 a month, his share thereof came to \$800 and the rest went to his substitute. In August 1983 the Applicant's wages were the same as before, i.e. at \$2,000 a month.

In my business I normally sent my staff to various locations to work on their own. That applied to the Applicant and although he worked hard, he would often mess up after August 1983.

30 For instance, when we had to clean a room, we would begin with the air-conditioning duck, the exhaust fan, the windows before cleaning the floor, whereas the Applicant would do the reverse and begin with the cleaning of the floor instead. Another example is that the Applicant was supposed to push a vacuum but rather kicked it forward. On some occasions I instructed him to teach a new workman but he instead scolded his assistant and kicked the rubbish onto the floor.

The reason for my keeping the Applicant on my payroll is because he works very hard under supervision. I would say that the trouble with him is that there is something wrong with his brain.

Cross-examination - Owen

No. 3 Judge's Notes

(Continued)

The Applicant's substitute during April -1983 was either his elder cousin LAU Kun-tong or someone recruited by me on his behalf and at his request. LAU Kun-tong shared half of 10 the substitution fees involved. From August 1983 onward the Applicant needed no substitute but himself from work without absented sometimes notice to me. In some months he stayed away from work every Monday but was paid his full monthly wages of \$2,000 a month because he claimed that he physical appearance is ill. As far as concerned I consider him to be as normal as anyone.

No re-examination - Davies

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<u>Davies</u> (for Applicant)

That is Applicant's case.

.....

Court: Short adjournment (11.30 a.m.)

Case resumes at 11.45 a.m.

Appearances as before.

DEFENCE

R.W.1 YAU Chor-yick - Sworn in Punti :

I reside at Room 406, Block 2, Wong Chuk Hang Estate and work for Respondent who is my 30 father. The company that we operate is registered

in Respondent's name and deals with transportation matters. Our duty is thus to deliver fruits from the wholesale market to the clients' addresses.

We don't have fixed numbers of workers because if ships loaded with fruits arrive we would hire additional workers. So, only my two younger brothers are permanent helpers, whereas other are temporary and casual workers. Wages are calculated at so much per month and paid on the 10 basis of actual working days. This practice was also adopted in September 1982. Among casual workers, 4 or 5 were regularly employed because they knew the fruit stalls. The Applicant among those 4 or 5 workers but sometimes did not report for duty. On average he put up 24-25 days' work a month and his income was calculated on the basis of \$1,900 for the full month's work. Applicant's job was to push a handcart loaded with fruits. He also had to load fruits from stalls 20 and unload them onto a lorry.

On 24/9/82 the Applicant was involved in an accident and was hospitalized for a month. His cousin and I collected him on his discharge and I paid the hospital bill \$150, which was at \$5 a day. Following that discharge I had occasions to see him at my place of work when he came with medical certificates. On these occasions I paid him 2/3 of wages in repsect of authorized sick leave. I now produce those 8 certificates. - 30 Exh. R2 -

The last of the Applicant's sick leave ended with 30/3/83, after which the Applicant has not asked me for more sick leave pay. At sometime before 30/1/83 the Applicant requested me to give him \$10,000 for his accident which neither of us wanted to happen. I told him that if he worked on board the truck he could not have fallen down and that it was reported to me that he fell because he was sleeping. In any event I offered to pay him \$5,000 but would deduct \$2,000 that he had borrowed form my younger brother. I further suggested that he could re-join us to do some

In the Victoria District Court of Hong Kong Employees' Compensation Case

No. 3 Judge's Notes (Continued)

light work, such as to receive invoices. I added that if he agree to my suggestion he should come back to sign a paper in that respect. Later, he informed me that he had sought assistance from Legal Aid Department.

No. 3 Judge's Notes Apart from wages the Applicant would only be entitled to double pay or a proportion thereof on the basis of the working days of the month before New Year.

(Continued)

Cross-examination - Davies

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I don't keep records of wages of my workers.

- Q. How many days can you say that the Applicant worked each month?
- A. I asked him about that when I paid wages to him.
- Q. In those circumstances would it be possible that the Applicant put up 27 or 28 days' work in a month?
- A. it is so possible.

I had, with my father's assistance, filled 20 up a form for submission to the Labour Department. In it we said that the Applicant's wages were \$1,900 a month, which were his basic pay rather than his actual income. I know that he had some brain injuries.

- Q. Why did you trust him with an offer to him to work at your office?
- A. Because I thought that he was normal. That is because when his cousin and I went to the hospoital to visit him, his cousin 30 tested him by giving him a poker hand and found that he was then able to set out that hand properly. Even if he had brain trouble, I gather that he could receive the invoices at my office.

No re-examination - Owen

Owen (for Respondent):

That is Respondent's case.

No. 3 Judge's Notes

In the Victoria

Case

District Court
of Hong Kong
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Compensation

(Continued)

FINAL SUBMISSION

Owen (for Respondent):

24/9/82. The accident occurred on Applicant's claim is (a) for sick leave pay under 10 S.10 for the period from 24/9/82 to 30/3/83, i.e., about 6 months' pay of 2/3 of normal monthly wages. Here I say that the Applicant worked for 24 or 25 days a month at the rate of \$64 a day or \$1,550 a month, including a portion of double pay; (b) compensation under S.10 for the months of April to July 1983. There is evidence that during that period the Applicant was paid \$800 a month by his employer. I submit that if he had worked as before at \$1,550 a month his loss of earnings 20 would be \$750. Under S.10 2/3 of \$750 would be \$500 and (c) compensation under S.9 beginning from August 1983. It is my contention that the Applicant has suffered no loss, giving the fact that he obtained a job with an increase in salary to \$2,000 a month. In other words, the Applicant is capable of returning to full employment so that, notwithstanding the figures provided by doctors, the Applicant has suffered no loss of earnings at present.

As regards disability I ask the Court to accept the evidence of Dr. Ng who is more suitable than Dr. Shroff to testify in this case. I would add that Dr. Shroff relied on Mrs. Lee's report on the assessment of the Applicant's mental ability. I submit that Mrs. Lee misunderstood her task in that she dealt with the Applicant's mental rather than his physical ability. Dr. Shroff's findings

No. 3 Judge's Notes

(Continued)

are therefore less accurate than those of Dr. Ng. I thus submit that the degree of the Applicant's disability should be 50%. I therefore say that the Applicant should not be compensated anything under 5.9 because he has resumed at wages which are even greater than before. In other words, S.9 was designed for compensation on loss of capacity to work and not for pain or suffering. If the aspect, on this Court is against me compensation should be at 50% of either \$1,550 or 10 \$1,900 multiplied by 96 months' wages as laid down in S7(1)(a).

<u>Davies</u> (for Applicant):

We agree that the Applicant should be entitled to 6 months' sick leave pay. His wages were \$1,900 for a full month's pay but I am prepared to concede that the Applicant did not work 30 days a month. I thus suggest a mesne figure of $$1,725 \times 2/3 \times 6$ months = $6,900$.

As regards partial loss, if we dedeuct \$800 20 from \$1,725 and multiply it by 2/3 by 4 months we would get \$2,467.

Under S.7 (1)(a) if we multiply \$1,725 x 96 months we would get \$165,600. Respondent's counsel suggested that Dr. Ng's figure of 50% should be accepted, because Dr. Ng was more suitable to give an opinion on the Applicant's disability. Here I say that Dr. Shroof has more experience than Dr. Ng and ought to have his view accepted.

The Applicant has suffered from mental disabiltiy and ought to be compensated although he was lucky in obtaining employment. To sum up I suggest compenstion of 60% of \$165,600 = \$99,360.

Under the old S.13(3) for whatever the Respondent had paid to the Applicant, only \$1,000 could be deducted from the final compensation.

Owen (for Respondent) :

- 26 -

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I agree with Mr. Davies's statement regarding S.13(3).

Court : Adjourned at 3.30 p.m. for decision.

In the
Victoria
District Court
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Employees'
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Sdg.
(H. Wong)
District Judge
(1.05 p.m.)

No. 3 Judge's Notes

Case resumes at 3:30 p.m.

Appearances as before.

Note: Oral judgment delivered on quantum of compensation (p.29/32).

Davies (for Applicant) :

No. 3 Judge's Notes

I ask for costs on upper scale, payment of compensation within 21 days into court and payment out subject to Director of Legal Aid's first charge.

(Continued)

Owen (for Respondent):

I agree. I ask that costs of today be to Respondent because it was the Applicant who requested the adjournment last time when the hearing could have been completed within one day.

Davies (for Applicant) :

The adjournment was a matter of contingency. Nevertheless, I leave it to the Court.

- Court: (a) Compensation is assessed and awarded in the total sum of 20 \$98,665.
 - (b) Respondent to have 21 days from today to pay the award into Court.

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- (c) Costs of the hearing on 20/6/84 are to Applicant against Respondent to be taxed on upper scale in accordance with Legal Aid Regulation, if not agreed.
- (d) No order as to costs for today's 30 appearance.

- (e) Subject to Director of Legal Aid's first charge, payment out to Applicant from payment in.
- (f) The Applicant's own costs to be taxed in accordance with Legal Aid Regulation.

Sdg. (H. Wong) District Judge (4.10 p.m.) No. 3 Judge's Notes

DECISION

The Applicant claims damages for both temporary incapacity and permanent partial incapacity under Sections 9 and 10 of the Employees' Compensation Ordinance, Cap. 282.

No. 3 Judge's Notes (Continued) It is common ground that the Applicant was employed by the Respondent as a delivery worker and, whilst being in the course of that employment, fell down from a goods vehicle on 24/9/82 and substained injuries therefrom. 10 Liability is admitted and the remaining issue for determination by the Court is the quantum of compensation.

From evidence I hold the view that at the date of and immediatley prior to the accident the Applicant put up about 25 days' work each month which, at the unchallenged monthly rate of \$1,900, would bring his average monthly wages to \$1,584. Based on three factors, viz. (a) this finding on earnings (b) the agreed period of sick leave of 6 months from 24/9/82 to 30/3/83 and (c) the interval of 4 months from April to July 1983 when the Applicant was at work for only part of the month and his monthly income came to \$800, compensation under \$.10 is arrived at as follows:

- 1) sick leave pay :-\$1,584 x 2/3 x 6 months = \$6,336.00.
- 2) Loss of earnings :- $$1,584 $800 \times 2/3 \times 4 \text{ months}$$ = \$2,091.00

\$8,427.00

It is not in dispute that the Appliant was admitted to Queen Mary Hospital on 24/9/82 in a drowsy condition and so remained for a

considerable time. Following radiological а fracture, which revealed no examination computerized temography was performed. Ιt was from the scanning and a detection of an area of brain atrohpy in the Applciant that Dr. Shroff neuro-surgeon who testified for the Applicant, Applicant to undergo recommended the performance. psychometric test on intellectual Mrs. Janie Lee, Eductional Psychologist 10 entrusted with that task. She found that Applicant's intellectual ability deteriorated by 10 I.Q. points and that the Applicant difficulty in concerntrating, which made him easily irritable. In short, she concluded that the Applicant had suffered loss of memory, change, worsened behaviour, personality All these symphoms are confirmed by vision etc. the Applicant's cousin, who has lived with the applicant for 4 years, and also partly by the 20 Applicant's present employer. Mrs. Lee was thus of the view that the Applicant's said defects co-related with one another or were derived from brain injury.

In the
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No. 3 Judge's Notes

(Continued)

Lee's From report and his Mrs. examination of the Applicant, Dr. Shroff came to the conclusion that the Applicant (a) had severe amnesia, (b) suffered from concussional syndrome regarding headache and dizziness, (c)was incapable taking up employment which did not entail 30 continuous supervision and (d) stood a chance of developing epileptic convulsions. Items (a)/(c) of Dr. Shroff's remarks are again corroborated in one way or another by the Applicant's cousin and the Applicant current employer, whom I believe to be truthful witnesses.

Dr. Ng, neurologist, who was called by the Respondent disclosed more or less the same findings as those of Dr. Shroff and Mrs. Lee. He put the Applicant's loss of capacity to work in 40 the order of at least 50%, whereas Dr. Shroff estimated it at between 60% and 70%. In spite of those experts' estimations, counsel for the Respondent maintained that since the Applicant was

In the Victoria of Hong Kong Employees' Compensation Case

No. 3 Judge's Notes

(Continued)

able to assume work anew from August 1983 at slightly higher pay than before the accident. District Court compensation to him under S.9 for permanent partial incapacity should be reduced to "nil". considered evidence on this aspect I find it as a fact that the Applicant had suffered from brain injury which led to his personal and behavioural I also say that, although he is able to changes. obtain employment which pays him a little more than what he earned before the mishap, the adverse 10 effect of the accident on his mental and physical abilities ought to be duly compensated. In this connection I consider that 60% disability is a fair and reasonable percentage. Assessment under this heading and also udner S.7(1)(a) is worked out as follows : -

Monthly wages of $$1,584 \times 60\% \times 96$ months =

To recapitulate, compensation is assessed and awarded : -

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1) under S.9

= \$91,238.00

2) under S.10

= \$ 8,427.00

\$99,665.00

agreed credit of Less:

> \$1,000 under S.13(3) for payment made by Respondent to Applicant

=\$ 1,000.00

Net total award

\$98,665.00

Sdg. (H. Wong) District Judge (4.00 p.m.)

MEMO - JUDGMENT TO THE APPLICANT ON LIABILITY

Your memo of the _____ refers.

2. On the 23.11.83 His Honour Judge H. Wong made the following orders :-

to

Respondent on liability.

against No. 4
Memo—
Judgment to the

liability

Applicant on

Case

In the Victoria

District Court of Hong Kong Employees'

Compensation

b) Costs to Applicant against Respondent to be taxed on Upper Scale in accordance with Legal Aid Regulations.

Applicant

- c) Respondent to supply Applicant's counsel with a statement of the Applicant's earnings, within 14 days from to-day.
- d) Deputy Registrar to fix hearing date for assessment of compensation (1/2 day)."

(Sgd.) (Y.K. CHAN) for Deputy Registrar

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a)

Judgment

CERTIFICATE OF AWARD

In the Victoria District Court of Hong Kong Employees' Compensation Case

No. 5 Certificate of Award THIS IS TO CERTIFY that the determination of compensation in the above-mentioned case, which was heard before His Honour Judge H. Wong on the 23rd day of November, 1983, was as follows: "Judgment for the Applicant on liability."

AND on the 24th day of July, 1984, the question of quantum of compensation was dealt with and determined by His Honour Judge H. Wong as follows:-

- "a) Compensation is assessed and awarded 10 in the total sum of \$98,665.00;
 - b) Respondent to have 21 days from the 24th day of July, 1984 to pay the award into court;
 - c) Costs of the hearing on 20/6/84 are to Applicant against Respondent to be taxed on upper scale in accordance with Legal Aid Regulations, if not agreed;
 - d) No order as to costs for to-day's 20 appearance.
 - e) Subject to Director of Legal Aid's first charge, payment out to Applicant from payment in; and
 - f) The Applicant's own costs to be taxed in accordance with Legal Aid Regulations."

Dated this 24th day of July, 1984.

(Sgd.) (C.W. CHAN) 30 Deputy Registrar.

In the Supreme Court of Hong Kong

Appellate Jurisdiction

Civil Appeal No. 139 of 1984

(on Appeal from Victoria District
Court of Hong Kong
Employees' Compensation Case No. 140 of 1983)

NOTICE OF APPEAL

In the Supreme Court of Hong Kong Appellate Jurisdiction

CIVIL APPEAL No. 139 OF 1984

IN THE COURT OF APPEAL

ON APPEAL FROM THE DISTRICT COURT OF HONG KONG

EMPLOYEES' COMPENSATION CASE NO. 140 OF 1983

No. 6 Notice of Appeal

BETWEEN

LAU HO WAH

Applicant

and

YAU CHI BIU

Respondent

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NOTICE OF APPEAL

TAKE NOTICE that the Court of Appeal will be moved so soon as counsel can be heard on behalf of the abovenamed Respondent on appeal from so much of the Judgment herein of His Honour Mr. Justice H. Wong, given at the trial of this action on the 24th day of July, 1984, as adjudged that compensation is assessed and awarded to the applicant in the sum of \$91,238.00 in respect of the applicant's claim pursuant to Section 9 of the Employees' Compensation Ordinance Cap. 282 for an Order that such part of the Judgment as aforesaid may be set aside and costs of the said action be the Respondent's.

And for an Order that the Applicant pay to the Respondent the costs of this Appeal to be taxed.

No. 6 Notice of Appeal

(Continued)

And further take notice that the grounds of this Appeal are that:

- 1. The learned Judge erred in awarding compensation to the Applicant in respect of his claim brought under Section 9 of the Employees' Compensation Ordinance Cap. 282 in view of the fact the evidence revealed 10 that the Applicant has been in employment since the relevant date (August 1983) earning more than his pre-accident rate of earnings.
- 2. That the learned Judge failed to address himself to or to give sufficient consideration to the fact that the Applicant's current rate of earnings exceeds his pre-accident rate.

Dated this 23rd day of August, 1984.

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Sgd. HAMPTON, WINTER & GLYNN

To: The Clerk of Court, Court of Appeal, Hong Kong.

and

Director of Legal Aid Legal Aid Department, Hong Kong.

JUDGMENT OF THE COURT OF APPEAL

In the Supreme Court of Hong Kong Appellate Jurisdiction

Coram : Roberts, C.J., McMullin, V.-P., Silke, J.A. Date of hearing : 8th November, 1984.

Date of judgment: 21st December, 1984.

No. 7 Judgment of the Court of Appeal

McMullin, V.-P. :

The appellant, LAU Ho-wah, runs a small transportation company. The respondent, YAU Chibiu, was regularly employed by him in work which involved pushing a hand cart loaded with fruit and occasionally in helping to load the fruit on to lorries.

The respondent received injuries resulting from an accident while he was in the employment of the appellant on the 24th July, 1984.

Proceedings were subsequently commenced on his behalf in the District Court for compensation under the Employees' Compensation Ordinance Cap. 282 (The Ordinance). On the 24th July, 1984 Judge 20 Henry Wong made an award in a sum of \$98,665.00 in his favour. This figure includes \$6,336.00 in respect of sick leave pay for the six months between the 24th September, 1982 and the 30th March, 1983 and also a sum of \$1,584.00 for loss of earnings for four months from April 1983 to July 1983. These two sums totalling \$8,427.00 are not in dispute. The disputed award is the balance of \$91,238.00 which was awarded by the District Judge under Section 9 Sub-section 1(b) upon evidence which persuaded him that the respondent had sustained a 60% permanent partial incapacity for work within the meaning of the Ordinance.

This sum was calculated upon the basis of pre-accident monthly earnings of \$1,584.00. This

No. 7 Judgment of the Court of Appeal

(Continued)

sum, although not the sum originally claimed by the respondent, was conceded by his Counsel to be the correct figure on the evidence actually placed before the Court. He also conceded that the sum awarded was derived from a proper application of the formula supplied by Sections 7 and 9 of the Ordinance to this basic monthly wage.

Mr. Bell for the appellant takes a single point upon this appeal. It is a novel point and it arises from the unusual circumstance that, ever 10 since the conclusion of his period of sick leave, the respondent has been in employment with another employer at a monthly wage of \$2,000.00, i.e. over \$400.00 more than he was earning per month prior to the accident.

It is convenient at this point to refer to the nature of the accident and the injuries. On the 24th September, 1982 while he was helping to load one of his employer's lorries, the defendant fell from the lorry and struck his head upon the 20 ground. He was taken to hospital where he remained as an in-patient for one month. The fall had caused damage to the brain which resulted in some impairment physical, mental, emotional and psychological and which, on the testimony of specialist witnesses, is likely to be permanent.

After discharge from hospital the respondent received out-patient treatment at Tang Chi Ngong Surgical Specialist Clinic between October 1982 and August 1983. At the trial, Dr. Shroff, a neuro-surgeon and Ms. LEE TAM Oi-chun, a qualified clinical psychologist, gave evidence for the respondent while Dr. NG Shi-hon, a neurologist, was called on behalf of the appellant. Each of these specialists had, prior to trial, carried out extensive examinations of the appellant and reports prepared by them were also submitted in evidence.

It is unnecessary to refer either to that evidence or to the substance of those reports in 40 any detail. All three specialist witnesses were

of the opinion that the respondent had suffered a degree of permanent damage which would leave him less fit for work than he had been prior to the accident. The physical part of that damage was a mild weakness of the left arm and leg. The major part of the persisting damage was perceived by all three witnesses as falling into the category of mental and psychological effects including increased irritability, impaired memory and some reduction in concentration and reasoning powers.

October 1983 Dr. Shroff was of the Ιn might be possible for the that it respondent to return to his former work provided it involved light physical duties and if he were subject to supervision all the time. Giving evidence at the trial, however, both he and Dr. Ng were of the opinion that this combination effects, resulting in permanent damage, would also cause a permanent reduction in the respondent's Dr. Shroff put the overall 20 earning capacity. reduction at 60 to 70% while Dr. Ng placed it at 50 to 60%. Both gave 30% as the figure they would attach to loss of earning capacity due to the weakening of the left upper and lower limbs.

At the trial the solicitor for the appellant contended that since the respondent had been able to resume work at a rate of remuneration above that which he had enjoyed prior to the accident he was not entitled to claim under this head at all. This argument was rejected by the trial judge. In his written judgment, he says:

evidence on "Having considered this aspect I find it as a fact the Applicant had suffered that from brain injury which led to personal and behavioural his changes. Ţ also say although he is able to obtain him employment which pays little more than what he earned before the mishap, the adverse effect of the accident on his

In the Supreme Court of Hong Kong Appellate Jurisdiction

No. 7 Judgment of the Court of Appeal

(Continued)

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mental and physical abilities ought to be duly compensated. In this connection I consider that 60% disability is a fair and reasonable percentage."

No. 7 Judgment of the Court of Appeal

(Continued)

He went on to make his assessment arriving at the figure which has been set out above.

Mr. Bell has referred us to a number of English and Hong Kong decisions. The English cases were of course all decided under the former 10 Workmen's Compensation Legislation and are now of merely academic interest in that jurisdiction. They include Irons v. Davis and Timmins Ltd. (1); Pomphrey v. Southwark Press (2) and some other cases from which, as Mr. Bell puts it, it is crystal clear that under the English legislation compensation was awarded only for such physical injury or impairment as resulted in a reduction in earning power, and further that where the power to earn wages at the same rate as prior to the 20 accident was shown to exist, there could be no award of compensation at all.

although Bell asks us to say that, Mr. are certain differences between the two bodies of legislation, the Hong Kong Ordinance is generally speaking modelled upon the English legislation and that these English cases should therefore be regarded as good authority for the interpretation of Section 9 which he asks us to He has referred us also to several adopt. District Court cases in which, following the decisions, judges have held - that English compensation is for loss of earnings capacity and not for loss of physical or mental capacity.

The observations of Lord McNaghten in the English case of <u>Ball</u> v. <u>William</u>, <u>Hunt & Sons Ltd.</u>
(3) to that effect were enlisted both by Deputy Judge Cheung in Victoria District Court case 44 of 1983, Show Chung Kai v. <u>Gammon Building</u>

(1) (1899) 2 Q.B.D. 330; (2)(1901) 1 Q.B.D. 86; 40

(3) (1912) A.C. 496 at 501

Construction Ltd., and Judge Eric Li in Victoria District Court case 17 of 1983 Tsang Lin v. Tong Ling Shipping Enterprises Co. Ltd.. A similar view of the local legislation was taken by Judge O'Connor in 1973 in the case of Leung Kam Mum v. Freedom Weaving & Dyeing Factory Ltd. (in Receivership), Case No. 150 of 1973.

In the Supreme Court of Hong Kong Appellate Jurisdiction

It may be said at once that the plain intention of this legislation is to compensate an 10 injured employee for the loss of "earning capacity". This is clear not only from the wording of Section 9 of the Ordinance itself but also form the definition of "partial incapacity" which appears in Section 3. That goes as follows (omitting a fault in the spelling of the initial word):

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No. 7 Judgment of the Court of Appeal

(Continued)

"'partial incapacity' means, where incapacity is of a temporary nature, such incapacity as reduces the earning capacity of an employee in any employment in which he was engaged at the time of the accident resulting in the incapacity, and, where the incapacity is of a permanent nature, such incapacity (which include may disfigurement) earning as reduces his capacity in any employment which he was capable of under taking at that time:

Provided that every injury specified in the First Schedule, except such injury or combination 30 of injuries in respect of which the percentage or aggregate percentage of the loss of earning capacity as specified in that Schedule against such injury or injuries amounts to 100 per cent or more shall be deemed to result in permanent partial incapacity;"

The present respondent is an unskilled workman and the kind of work in which he is presently employed falls within the description of the concluding words in paragraph (b) of

- 41 -

subsection 1 of Section 9, viz: work "which the employee was capable of undertaking at that time" (that is to say at the time of the accident).

The relevant parts of Section 9 read as follows:

"i.

No. 7 Judgment of the Court of Appeal

(Continued)

- (1) Subject to subsection (1A), where permanent partial incapacity results from the injury the amount of compensation shall be -
 - (a) in the case of an injury 10 specified in the First Schedule, such percentage of the compensation which would have been payable in the case of permanent total incapacity as is specified therein as being the percentage of the loss of earning capacity caused by that injury;

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(b) in the case of an injury not First the specified in Schedule, such percentage of the compensation which would have been payable in the case of permanent total incapacity as is proportionate to the loss of earning capacity permanently caused by the employment any injury in employee was which the capable of undertaking that time:

Provided that -

(i) in the case of injury to any part of the body specified in the First Schedule not amounting to the loss of that part, the loss of earning capacity permanently caused by that injury, expressed as a percentage, shall exceed the appropriate percentage specified in the First Schedule in respect of the loss of such part;

In the Supreme Court of Hong Kong Appellate Jurisdiction

(ii) in the case of injury specified in the First Schedule, the loss earning capacity permanently casued such injury shall. SO far as possible, in conformity

percentages specified in

scale

of

assessed

with the

that Schedule.

No. 7 Judgment of the Court of Appeal

(Continued)

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The First Schedule to the Ordinance describes some 40 categories of physical injury appoints a percentage of loss of earning which must be capacity attributed to particular injury falling into any one of those categories. In the present case we are not dealing with an injury specified in the First Schedule and therefore the provisions of paragraph 30 (b) of Section 9 apply as also do the provisions of paragraph (ii) of the proviso to that subsection.

Mr. Bell contends that where a person has, in some sense, been permanently disabled by an accident but retains thereafter the capacity to earn at the same or at a higher rate in similar the Court in awarding compensation employment, take into the existing earning award. Such "earning account capacity in making this award. Such "earning 40 capacity", he says, can be established - as it was in the present case - by evidence of actual earnings in a particular class of employment over

a given period. He would make no distinction in this regard between injuries specified in the Schedule and other injuries not so spectified, and maintains that where in fact there has been no reduction in earning capacity an applicant does not get as far as the First Schedule at all even if his injury happened to be one which fell within one of those 40 categories.

No. 7 Judgment of the Court of Appeal

(Continued)

for the respondent maintains Mackay that there is a significant difference between the 10 English legislation and that which applies in Hong Kong. Section 9, he says, embodies a radically different approach which is intended to bear hard Kong. upon employers. He points out that, other than in Section 10, which deals with temporary incapacity, there is no provision in the Hong Kong legislation periodic payments corresponding with periodic weekly payments for permanent partial incapacity coupled with a system of review such as prevailed under the former legislation in the 20 English jurisdiction. The English decisions, he says, are simply irrelevant to the Hong Kong situation.

Like Mr. Bell Mr. Mackay does not seek to draw any distinction, in relation to the right to compensation, between injuries falling within the those not specified therein. Schedule and opposite the precisely him to 1eads He points to the proviso to the conclusion. definition which plainly states that the scheduled 30 injuries are deemed to result in the designated loss of earning capacity. The law, he says thus prevails over any evidence to the contrary and once the applicant has established the existence injury he has a right to of a schedule earning his compensation whatever appropriate position may be in fact when he comes before the He concludes by saying that if that is so in the case of what one might call a "scheduled applicant" then there is no good ground in justice refusing compensation to an or in logic for employee who can show some disability permanent character which does not fall within any

of the scheduled categories, simply because at the time of the application there is evidence to show that his actual earning capacity has not been reduced.

Insofar as the scheduled injuries are concerned Mr. Mackay is quite clearly right. Were it not for the proviso to the definition of "partial incapacity" in Section 3, there might be substance to Mr. Bell's contention that some 10 degree of incapacity to earn must be shown in fact before the applicant can get his case within the Schedule at all.

The law as it is presently framed can only be understood as giving to any person who can show an injury of the scheduled kind compensation calculated by reference to the fixed degree of earning incapacity appointed to that injury in the proviso Schedule. The to the definition Section 3 of the Ordinance cannot be regarded as 20 setting up a rebuttable presumption of fact any more than the figures in the Schedule, read together with the provisions of Section 9(i) or (ii) can be said merely to establish a ceiling to the quantum of compensation awardable in the given the actual amount of the award being determined by the evidence. Only by some such route as that cuold Mr. Bell's interpretation of Section 9 be sustained. But whatever the true intention may have been, the language used by the 30 Legislature is clear and it is wholly against Save as provided in S. 9(1A) - which is not material in this case - there is no room within these provisions for evidential considerations to intrude upon the expressed intent to compensation in respect of any of the scheduled injuries at a rate ascertainable only by reference to the fixed figures in the Schedule.

No assistance can be derived on this point from the English decisions since the English 40 legislation did not provide by way of schedule for specified degrees of incapacity as does the Hong Kong law. The English case law supports Mr. Bell

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of Hong Kong
Appellate
Jurisdiction

No. 7 Judgment of the Court of Appeal

(Continued)

No. 7 Judgment of the Court of Appeal

(Continued)

only so far as it accords with the principle, which in Hong Kong has statutory force, that incapacity, whether total or partial, means incapacity to earn. Counsel in the present case are in agreement upon this point and the English cases are of no assistance on the question which is of primary importance here: Does the proved capacity to earn at or above the pre-accident rate disentitle the applicant to recover anything in respect of his injury?

In New Zealand the Workmen's Compensation Act of 1922 did, however, provide in very similar fashion by way of schedule for the degree of incapacity to be attributed to specified injuries. In Grace v. Auckland Gas Co. Ltd. (4) the Court was construing a schedule which was evidently in very similar terms to that contained in the later Act and Sim, J. said:

"In the case of the injuries specified in the second shedule to the Act, the 20 Legislature has said, in effect, that these injuries must be presumed conclusively to have affected the earning capacity of the injured worker to the extent therein specified, and the worker is entitled to the specified compensation, although his earning capacity may not have been diminished at all."

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This veiw of the matter was approved by the New Zealand Court of Appeal in Boyes v. Smyth (5) 30 where it is quoted by Myers, C.J. at page 1433.

different approach to compensation The admirably English law is former the under by the decision in Pomphrey illustrated Southwark Press (2), one of the cases relied upon by Mr. Bell. Although the Court of Appeal in that case did indeed uphold the right of an employer to be granted an order suspending weekly payments in the case of a workman who was earning more after the accident in which he had sustained his injury

(4) (1913) 15 G.L.R. 442; (5) 1933 N.Z.L.R. 1427;

(2) (1901) 1 Q.B.D. 86

than he had been earning before, thus differing from the trial judge, it also ordered that the amount awarded by the judge should be reduced to the nominal sum of one penny per week, purely for the purpose of keeping the workman's claim alive so that if, at a future date, his injury should result in reducing his capacity to earn he would be entitled under the Act to have his case reviewed.

No such right has been given to the employee under the Ordinance. The absence of any provision to cover such future contingencies is in itself an indication that it was intended that, as regards scheduled injuries, compensation was to be granted at the stated rate on proof of the sustaining of the injury.

This being the state of the law as relates to scheduled injuries can it be said that any different rule should apply to injuries not so 20 particularized? One have must considerable sympathy with Mr. Mackay's complaint that this would be a very unjust result where good evidence is given of disablement which may be quite as palpable in its effects as any of physical injuries described in the Schedule, and which may possibly be more disagreeable, in terms of general disability, than many of them.

The difficulty is in extending the plain words and figures of the Legislature relating to 30 schedule injuries to the area of unscheduled injuries in relation to which no loss of earning capacity is "deemed".

Mr. Mackay suggests a link between these two legislative areas. The eighth item in the Schedule, the final item in the list of gross disablements to which 100% incapacity is assigned reads: "any ther injury causing permanent total disablement."

In the
Supreme Court
of Hong Kong
Appellate
Jurisdiction

No. 7 Judgment of the Court of Appeal

(Continued)

No. 7 Judgment of the Court of Appeal

(Continued)

Counsel suggests that this read together with the concluding words of Section 9(1)(b)(ii)offers to the Court the means of dealing with all unspecified injuries so as to avoid an unjust discrimination between claimants whose cases fall within one as against those whose claims fall within the other of these two categories. would mean that where the evidence of earning capacity runs counter to the percentages in any case involving a scheduled injury it must be disregarded, whereas in cases of the other class evidence - such as that given by the specialist present case becomes the in witnesses all-important.

Whichever view be taken of these provisions in Cap. 282 one encounters some degree of friction between common sense and apparent - or possible - legislative intention. On either view the Court may find itself obliged to disregard evidence of a perfectly acceptable kind which in the one case favoured would have (scheduled injury) employer and in the other (unscheduled injury) the Ordinance obliges employee. However, the Ordinance obliges the Court to resolve any such contradiction in favour of the employee in case of the Scheduled injuries but makes no provision of the kind to suit the circumstances of the claimant whose case is not within the Schedule. Indeed, although there would seem to be here a casus omissus, it is not easy to see how injuries of the kind with which we are 30 present case couldthe in concerned accommodated within the same frame work as that provided for the scheduled injuries since that in effect establishes in every case a fixed figure applicable to a readily identifiable is Though the Legislature has mutilation or defect. purported to do so, ostensibly by reference to loss of earning capacity, the net result is to provide relief of a kind similar to common law for personal injury irrespective damages financial loss. Unscheduled injury is not covered and, anomalous as the result may seem, the words in Section 9(1)(b):

- 48 -

"..... loss of earning capacity permanently caused by the injury"

would seem to oblige proof of some loss of earning capacity in fact before the formula in paragraph (ii) of the proviso to that Section can be invoked.

The present state of the law cannot be satisfactory. regarded as A person position of the present applicant is under the special disadvantage that his claim has now been 10 dealt within for all time. Yet if he should lose it his present employemnt mav be that at subsequently will find himself such disadvantage in the market generally that genuine loss of pre-accident earning capacity may result. The Legislature might well care to consider some provision whereby the future interests of such stands. workmen are protected. As the law however, I do not think the respondent was entitled to any compensation under Section 9. I 20 would allow the appeal and set aside the award.

In the Supreme Court of Hong Kong Appellate Jurisdiction

No. 7 Judgment of the Court of Appeal

(Continued)

Hon. C.J. :

I am in general agreement with the judgment which has just been delivered and I do not wish to add anything.

No. 7 Judgment of the Court of Appeal

(Continued)

Silke, J.A.:

The respondent was a workman employed by the appellant who operates a small scale transportation company. He does not have fixed number of workers. The respondent, while a casual worker with him, was regularly employed. His job was to push a handcart laden with fruits and to load them on to and off a lorry. He put in an average of 24 to 25 days' work a month. His income was calculated on the basis of \$1,900 for a full month's work.

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On the 24th September 1982 the respondent was involved in an accident arising out of and in the course of his employment. He suffered brain damage, as a result of which there was a 5% chance of epilepsy developing. He suffered some weakness in his left limbs. The medical examiner felt that he was capable of light work and assessed his loss of earning capacity at betwen 60% to 70%.

Dr. Shroff, a neurosurgeon, called on 20 behalf of the respondent said:

"It would not be difficult for the applicant to go back to his job by pushing a trolley from point A to point B or by assisting people doing similar work. The difficulty to him now is to take care of himself in relation to others and to life generally, rather than to his normal work."

In August 1983 the respondent entered into fresh full time employment at wages of \$2,000 per month. That is in excess of his pre-accident wage. His fresh employment is cleaning floors and wiping tables. Between April and August of 1983 he had been partially employed in the same form of work by the same employer but a stand-in worker did his job for him. His new employer said that he normally sent his staff to various locations to work on their own at cleaning work. He stated that he kept the respondent on his payroll because the respondent worked very hard under supervision.

v

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The respondent applied under the Employees' Compensation Ordinance, Cap. 282 - "the Ordinance" - for compensation under its sections 9 and 10. No quarrel is taken with the award made under section 10, for compensation during his temporary incapacity while recovering from the accident.

In the
Supreme Court
of Hong Kong
Appellate
Jurisdiction

Under section 9 the trial judge made an award of \$91,238. Of the section 9 application he said:

No. 7 Judgment of the Court of Appeal

10 "Dr. Ng, neurologist, who was called by the Respondent disclosed more or less the same findings as those of Dr. Shroff and Mrs. He put the Applicant's losscapacity to work in the order of at least 50%, whereas Dr. Shroff estimated it at between 60% and 70%. In spite of those experts' estimations, counsel for the Respondent maintained that since Applicant was bale to assume work anew from August 1983 at slightly higher pay than 20 before the accident, compensation to him under S.9 for permanent partial incapacity should be reduced to 'nil'. Having considered evidence on this aspect I find as a fact that the Applicant it suffered from brain injury which led to his personal and behavioural changes. I also say that, although he is able to obtain employment which pays him a little more than what he earned before the mishap, the 30 adverse effect of the accident on mental and physical abilities ought to be duly compensated."

(Continued)

He then took 60% disability as a fair and reasonable percentage.

It has been argued for the appellant in this appeal that the trial judge approached the matter from the wrong aspect treating it as something more akin to a personal injuries claim, 40 rather than, as it should have, a claim confined within the provisions of the Ordinance.

No. 7 Judgment of the Court of Appeal

(Continued)

There is substance in this submission. Under the Workmen's Compensation Acts in the United Kingdom it was normal for an order for weekly payments of compensation to be made. payments were subject to review from time to time in the light of a change of circumstance. They could be reduced to nil, or to a nominal sum in order to keep the future rights of the workman The scheme of the Employees' Compensation alive. Ordinance is some what different, in that one final lump sum payment is made instead of separate weekly payments. This may well be because of the volatile nature of the smaller Hong Kong companies which might not be in a position, over an extended period of time, to make weekly payments.

Section 9(1) fo the Ordinance reads:

"Subject to subsection (1A), where permanent partial incapacity results from the injury the amount of compensation shall be -"

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the section then goes on to deal with scheduled injuries and with unscheduled injuries. It is a prerequisite for compensation under either of those headings that there be "permanent partial incapacity" but this is deemed, by the proviso to that definition in section 3, to exist in respect of scheduled injuries. It is not so deemed in respect of unscheduled injuries.

A definition of "partial incapacity" is set out in section 3 of the Ordinance: 30

"'partical (sic) incapacity' means, where the incapacity is of a temporary nature, such incapacity as reduces the earning capacity of an employee in any employemnt in which he was engaged at the time of the accident resulting in the incapacity, and, where the incapacity is of a permanent nature, such incapacity (which may include disfigurement) as reduces his earning capacity in any employment which he was capable of undertaking at that time:". (Emphasis added)

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It is clear that the legislation, in respect of unscheduled injuries, was intended to cover a permanent partial incapacity which related directly to a loss of earning capacity in any employemnt which the employee was capable of undertaking at the time of the accident. The employee here was in a somewhat unusual position in that the form of work he was doing at the time of the accident was not dissimilar from the form 10 of work which he is now doing. His "earning capacity" is not disminished in the sense that his earnings now are greater than his earnings at the time of the accident.

In the Supreme Court of Hong Kong Appellate Jurisdiction

No. 7 Judgment of the Court of Appeal

(Continued)

One must be careful to see that these strict provisions are not abused. The Legislature might care to consider some provision whereby the future interests of an employee suffering from an unscheduled injury are protected.

With respect, I must differ from the trial 20 judge both as to his approach and as to the result which he achieved. In this case I do not think the respondent to have been entitled to any section 9 compensation. I,too, would allow the appeal.

Mr. G. Mackay (D.L.A.) for appellant.

Mr. A. Bell (Hampton, Winter & Glynn) for respondent.

APPLICATION FOR LEAVE TO APPEAL TO HER MAJESTY IN

COUNCIL

No. 8 Application for Leave to Appeal to Her Majesty in Council

TAKE NOTICE that the Court of Appeal will be moved on Friday the 25th day of January 1985 at a.m. or as soon as Counsel can be heard thereafter by Counsel on behalf of the Applicant for leave to appeal to Her Majesty in Council from the whole of the Judgment of the Court of Appeal dted the 21st December 1984, which was presided over bythe Honourable Chief Justice who agreed 10 with the judgment of the Honourable Mr. Justice McMullin Vice President.

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AND FURTHER TAKE NOTICE that the grounds of this application are :-

> That the questions involved in the appeal are ones which, by reason of their great general or public importance, or otherwise, ought to be submitted to Her Majesty in Council namely :-

1. That Their Lordships :misdirected themselves upon the spirit and intendment of the Employees' Compensation Ordinance Cap. 282.

Particulars

- (a) When they failed to find on a true construction of the Ordinance an Employee has suffered when accident within the meaning of S. 5 of Ordinance, the entitlement to of compensation arises payment this cannot be reduced or otherwise be affected by any earnings which arise from any employment following accident.
- (b) When they failed to distinguish between actual earnings, and "earning

capacity" within the meaning of the Ordinance.

In the
Supreme Court
of Hong Kong
Appellate
Jurisdiction

(c) When they held that the relief provided to the Respondent under the Provisions of the Ordinance was of a kind similar to common law damages.

No. 8 Application for Leave to Appeal to Her Majesty in Council

(d) When they held that notwithstanding the finding of fact by the trial judge o f percentage loss οf earning capacity, additional that an burden arises wherein the evidential Respondent had to prove loss earning capacity.

(Continued)

- (e) When they held that notwithstanding the facts established by the Trial Judge they had in effect the power to reduce the award by 100%.
- (f) When they held that an employee who returns to work and receives earnings therefrom which are greater than those received from his employment before the accident has suffered no loss of earning capacity.
- 2. Misconstrued the provisions of the Employees' Compensation Ordinance Cap. 282.

Particulars

- (a) When they held that the entitlement to the award arose under the proviso, namely S. 9(1)(b)(ii), whereas entitlement properly arose under S. 9(1)(b).
- (b) When they held that a different criterion arises for the assessment of loss of earning capacity when an injury or injuries are not specified within Schedule 1 to the Ordinance.

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- 55 -

No. 8 Application for Leave to Appeal to Her Majesty in Council

(Continued)

- (c) When they held, notwithstanding their misdirection that the Respondent's injuries fell within the scope of S. 9(1)(b)(ii), that the Respondent is obliged to prove some loss of earning capacity.
- (d) When they failed to find that once the Trial Judge had found permanent partial incapacity as a fact the Plaintiff need not furnish any further 10 proof of loss of earning capacity and/or post accident earnings of any kind.
- When they held that the proviso to the definition in S. 3 Cap. 282 wherein (e) incapacity" 'permanent partial relation to deemed in injuries specified in Schedule 1 thereof, that absence of such а deeming provision in relation to injuries 20 falling outside the Schedule obliges a claimant to prove loss of earning capacity.

Dated this 11th day of January 1985.

(Sgd.)
(M.K. Turnbull)
Asst. principal Legal Aid Counsel acting on behalf of the Applicant

To: The Clerk of Court, Court of Appeal, Hong Kong.

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and

Messrs. Hampton, Winter & Glynn, Solicitors for the Respondent, 6th floor, Shell House, 24 Queen's Road, Central, Hong Kong.

ORDER OF THE COURT OF APPEAL

BEFORE THE HONOURABLE MR. JUSTICE McMULLIN, VICE-PRESIDENT, THE HONOURABLE MR. JUSTICE SILKE AND THE HONOURABLE MR. JUSTICE KEMPSTER

ORDER

UPON reading the notice of motion dated llth day of January, 1985 on behalf of the Applicant for leave to appeal to her Majesty in Council from the order of the Court of Appeal 10 given on the 21st day of December, 1984.

AND UPON reading the said Order of the Court of Appeal dated the 21st day of December, 1984.

AND UPON hearing Counsel for the Applicant and Counsel for the Respondent.

It is Ordered that the Applicant do have leave to appeal from the said Order of the Court of Appeal given on the 21st December, 1984 on condition:-

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(1) That the Director of Legal Aid do within 28 days from the date hereof provide a security by payment into Court in the sum of \$100,000.00 for the due prosecution of Appeal and the payment of all such costs as may become payable, and

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(2) That the Records of the Appeal be prepared and despatched to England within three (3) months from the date hereof and the costs of this application be costs in the Appeal.

Dated the 25th day of January, 1985.

(J. Betts)
Acting Registrar
Supreme Court.

In the Supreme Court of Hong Kong Appellate Jurisdiction

No. 9 Order of the Court of Appeal

EVIDENCE

and

EXHIBITS

MEMO OF DR. CATHERINE NG DATED 8TH AUGUST 1983

| MEMO | | | | | |
|-------------------------------------|------------------------------------|--|--|--|--|
| From Medical Superintendent, Q.M.H. | To Director of Legal Aid | | | | |
| RefinMS/QMH/P | (Attn.: Mr. R.A. Davies) | | | | |
| Tel. No. 5-8192377 | Your Ref. LU/WCC/LA 23/83 RAD (PTL | | | | |
| Core 3th August, 1983 | dated20.7.1983 | | | | |

Re: LAU Ho-wah, M/27

Please be informed that a sum of HK\$150.- in cash was paid to Accounts Office on 21.10.1982 vide Receipt No. 2983. There was no record to show who made the payment.

Medical Superintendent, Q.M.H.

CN/jl

S.F. **

MEMO OF DR. G.P. POON DATED 13TH OCTOBER 1983 Item. No. A2

| Dr. G.P. POON Senior Medical & Health Officer | 1EMO |
|---|--------------------------------------|
| From Government Surgical Unit, Q.M.H. | To Director of Legal Aid |
| RefinTCN_No9770/82 | |
| Tel. No. 5-8192386 | Your Refin_LU/NCC/LA_23/83_RAD_(PIL) |
| Date 13th October, 1983. | dated28.9.83 |

Re : LAU Ho-wah M/27

This patient attended the Tang Chi Ngong Surgical Specialist Clinic 9 times from October 1982 to August 1983 since discharge. Eight visits were made before April this year.

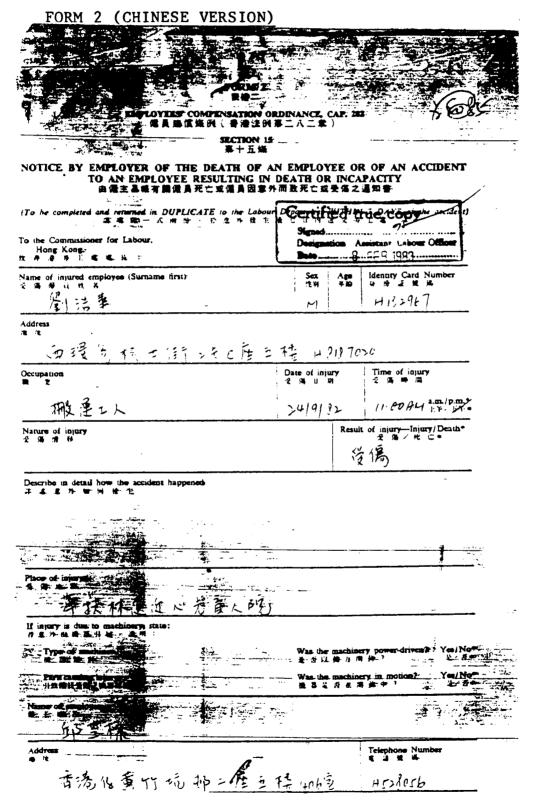
2. The charge was \$3.00 each time before lst April, 1983 and \$6.00 each time since then.

(Dr. G. P. POON)
Senior Medical & Health Officer
Government Surgical Unit
Queen Mary Hospital

GPP:sh ..F 13

- 59 **-**

Item. No. A3



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| Continued) | Name of next-of-kin | | | Relationship | |
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| | Was fit in | ree accommodation provide 基系由量 医兔霉 | d by the employ ंदें को ? | er'? | Yes/No* |
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* Delete whichever is not applicable. 《诗《道川芳副臣》

FORM 2 (ENGLISH TRANSLATION)

Item. No. A4

(Transition)
FORM 2
表格二

EMPLOYEES COMPENSATION ORDINANCE, CAP. 282 價員賠償條例(香港法列第二八二章)

SECTION 15 第十五條

NOTICE BY EMPLOYER OF THE DEATH OF AN EMPLOYEE OR OF AN ACCIDENT TO AN EMPLOYEE RESULTING IN DEATH OR INCAFACITY 由領主品報有關領責死亡或德責因意外而致死亡或受傷之遇知書

| Hong Kong. 数 香 考 耳 進 進 及 : Name of injured employee (Surname first) 录 湯 別 良 姓 名 | · · · · · · · · · · · · · · · · · · · | Sex IE84 | Age # Mr | Identity Card | |
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| Lan Ho Wah Address No 2, Davis Street, Block C 722 5-8187020 | . 4/F, C | Wester | . Ho | ng Komp | |
| Occupation Delivery Worker | Date of injury # 18 8 9 9 | | OI | Time of injury 录 编 辑 团 | |
| Nature of injury そ 海 情 杉 | | ···· | 7 | t of injury—Inju 是 導 / 死 | ry/Deadl [©] |
| Place of injury of Pokfulam Road near | Ebenazor | . Llog | e for | de Blind. | |
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| Type of machine 编 路 新 利 | | Was the machinery power-driven? 是否以動力關動? | | | Yes/No 是/否。 |
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| Name of employer ** E # 3 **Yau Chi Biu | | | | | |
| Address Rn 406, Block 2, Wong Chik 4/F., Aberdeen, Hong | Hang Z | stale, | | Telephone Num * * * * * * 5 - 5 2 8 0 | |
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Item. No. A4

(Continued)

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| additional information | relve months or total period of e is provided: 十二個月內或祭段受獲期間 | | | | |
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| | 平均工資 | | 毎月と週/日 | * | |
| | Average wages for regular overtime | e worked | s | /day/v | veek/month.* |
| | 定期逾時工作之平均工资 | | 毎月/週/日 | l * | |
| | Additional allowance or bonus of | constant natu | re \$ | jday/v | veek/month.* |
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| | | Total: | s | /day/v | week/month.* |
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| (三) 該病人應於 回來理診。 (4) A permanent disability—is expected. | |
| (四) 預期 該 病 人可能水久喪失工作能力。 | |
| Medical Officer. | |
| To: Living | |

(Continued)

SICK LEAVE CERTIFICATE 病 俊 証 明 書

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| Medical Officer, 智士 | |
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| M.D. 37 | |

(Continued)

SICK LEAVE CERTIFICATE 病 假 証 明 書

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MEDICAL REPORT BY DR. FALI J. SHROFF

DATED 91H AUGUST 196

) DR. FALI J. SHROFF

ROOM 818. HOLLAND HOUSE 9: ICE HOUSE STREET HONG KONG

TEL. 5-224800

施 樂 富 馨 坐 基質大學內外科學學也 并因之丁里在另外科學學院此士 所員什人一人生工格 學 漢 常 盘 新 太 起

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9th August, 1983.

Mr. R. A. Davies, Legal Aid Department, 173 Des Voeux Road Central. HongKong.

Your ref: LU/WCC/LA 23/83.

Dear Mr. Davies,

Re: Lau Ho Wah M/ 31.

Lau Ho Wah was interviewed and examined by me on the 1st of August, 1983 with regard to an accident sustained by him in September, 1982. He was accompanied by his cousin.

Lau Ho Wah has complete amnesia for the accident. He can recall travelling on a lorry but any subsequent events cannot be recalled by him. Thus he was unaware of the arrival of the ambulance or of his admission into hospital.

According to his cousin Lau Ho Wah fell off a moving lorry.

Lau Ho Wah was admitted into the Government Surgical Unit of Queen Mary Hospital in a condition that was stated to be drowsy with laceration over the occipital region (back of the head). He remained drowsy for some considerable time and it was felt that a special investigation in the form of computerised tomography of the brain should be carried out. This revealed cerebral oedema (swelling of the tissues of the brain with fluid). Radiological examination did not reveal any evidence of fracture.

It appears from the medical report of Queen Mary Hospital that Lau Ho Wah remained drowsy for some considerable time and he was discharged after remaining in hospital for one whole month.

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2.

Lau Ho Wah

Lau Ho Wah was asked to describe his present symptoms.

These are:

- (a) Marked intellectual impairment with difficulty in concentration; poor memory for recent events and at times easily confused.
- (b) Attacks of headaches associated with dizziness occurring almost daily and each time lasting for several hours.
- (c) Feeling of numbness in his left limbs.
- (d) Lethargy and apathy throughout the day.

Neurological Examination:

This was carried out in a detailed manner.

Lau Ho Wah appeared to be mentally dull. There was marked slowness of thought processes. He could not give his address nor could he recall his telephone number. Durigh the physical examination he showed some difficulty in following instructions.

The cranial nerves were examined with regard to their functions. No abnormality could be detected.

Next both the upper and lower limbs were examined. There was slight weakness of the left limbs when compared to the right. This weakness amounted to grade $4 \neq$ where grade 5 = normal; grade 3 = can just overcome gravity and grade 0 = complete paralysis.

This mild weakness of the left limbs resulted in an equi-vocal response of the plantar reflex.

No other abnormality in the nervous system could be detected.

IMPRESSION:

In order to judge the severity of a head injury sustained, the duration of unconsciousness (i.e. period of amnesia) is a very good guide. This period of time is calculated from the moment of impact to the restoration of continuous awareness. During this period there may be 'islets of consciousness' but for the purpose of arriving at an indication of the severity of the head injury sustained, this is disregarded.

According to Professor B. Jennett of the University of Glasgow, where the period of amnesia lasts for 1 to 7 days the head injury sustained falls under the category of severe; and it is considered

Continued.....

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3.

Lau Ho Wah

to be very severe where the period of amnesia is from 1 to 4 weeks.

This criteria as laid down by the professor is now almost universally agreed upon. It follows therefore that the head injury sustained by Lau Ho Wah under the category of severe.

A repeat computerised scanning of the brain was therefore called for. Plain studies revealed an area of brain atrophy involving the right anterior and mid frontal lobe of the brain. The X-ray series were therefore repeated this time after the introduction of a contrast material in order to see if this area was enhanced. (See detailed report enclosed).

There is therefore radiological evidence of right frontal lobe damage which can account for his marked intellectual impairment. Skilled psychometric testing is recommended. It may enable the examiner to make some assessment as to how far the patient's poor intellectual performance is inherent and constitutional, how far it is genuinely due to brain damage; in the latter instance the patchiness of the test results is characteristic.

In this respect may I recommend;

Ms. Janie O. C. Lee, 29-31 Yuk Sau St. Po Wah Court, Rm 2C. Happy Valley, H.K.

Tel: 5-756412.

Another evidence with regard to his intellectual impairment is forthcoming from his history; he cannot watch television for long as his concentration would falter nor does he read newspapers. However Lau Ho Wah was born in China and only attended primary schooling. He arrived in HongKong in 1980.

Post Concussional Syndrome:

Lau Ho Wah's symptoms of headache, associated with dizziness and feeling of lethargy and easy fatiguability is very suggestive of post concussional syndrome. That these symptoms have an organic basis have been confirmed by recent evidence. It is now accepted that even mild injuries usually entails some structural damage to the brain. The dizziness so often complained of or can readily be related to labyrinthine (ear) concussion; but the headache is more difficult to explain.

It is possible that with the passage of time these symptoms of post-concussional symdrome may americlate.

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4.

Lau Ho Wah

It would be difficult at this early stage to state if Lau Ho Wah can return to his previous employment. His head injury occured only 10 months ago.

I will await the report from the psychologist before making any definite decision regarding return to work.

Yours sincerely,

Neurosurgeon

(Continued)

ROOM 818. HOLLAND HOUSE
9. ICE HOUSE STREET
HONG KONG

TEL. 5-224800

选 樂 富 普 生 基育文学内外科等学士 并通过T空主军外科等学院原士 并通行人一人生儿 施 中 海 年 本 年 专 始 2 五 - 二二四人〇〇

COMPUTERISED TOMOGRAPHY SCANNING OF THE BRAIN.

Lau Ho Wah Male, 31.

Inital scan showed an area of irregular shape with reduced attenuation value occupying the <u>anterior and mid frontal</u> lobe of the brain on the right side.

The lateral and 3rd ventricles are slightly dilated but the <u>anterior horn is dilated more on the right side.</u> There is no shift of the mid line structures.

In view of the abnormality seen, contrast injection was mandatory. No localised enhancement was shown.

Opinion: The findings are consistent with <u>localised brain atrophy</u>
in a part of the right frontal lobe together with <u>scar</u>
tissue formation.

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MEDICAL REPORT BY DR. FALI J. SHROFF DATED 1ST

Item. No. A7

OCTOBER 1983

DR. FALI J. SHROFF

TEL. 5-124800



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1st October, 1983.

Mr. R. A. Davies, Legal Aid Department, 173 Des Veoux Road, HongKong.

Your ref: LU/WCC/LA 23/83 RAD (PIL)

Dear Mr. Davies,

Re: Lau Ho Wah, M/31.

 $$\operatorname{\textbf{Thank}}$ your for your letter dated 26th September, and for the enclosure.

I have now had occasion to study in detail, Ms. Lee's report.

I do not χ think that Lau Ho Wah is capable of taking up any employment which does not entail continous supervision by a colleague.

Whilst regretfully it was not mentioned in my original report the possibility of Lau Ho Wah developing generalised epileptic convulsions within the next four years is of the order of 5%.

It is important therefore the he #hould not work near any place of hazard nor at any height above ground level.

The fact that he is very absent-minded will also preclude him from obtaining any employment which calls for even miminal responsibility. His psychological (emotional and behavioural) and intellectual problems will again make it difficult for him to sustain any employment for any length of time.

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2.

Lau HO Wah

One cannot give a definite answer if he can return to his previous employment as a porter in afruit market. If this involves lifting of heavy weights then he would tire easily because on clinical examination some weakness of his left limbs was noticed.

If his job involves light physical duties and if supervision is present all the time, in my opinion he can return to his previous employment. However at the present time he suffers from symptoms suggestive of post-concussional syndrome; in particular headache and dizziness. This will prevent him from taking up any employment at the apresent time. These symptoms should abate in about 6 months time taking into account the fact that he sustained his head injury about a year ago.

I would estimate his loss of capacity to work as being of the order of 60 to 70%.

Yours sincerely,

Neurosurgeon

DATED 7TH SEPTEMBER 1989

HONG KONG CHILD GUIDANCE CENTRE

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Educational Psychologist

JANIE Ot C. LEE

8.A.(HONSJ, DIP. ED.(H.K.), M. SC.(ED. PSYLICLONDON)

7th September, 1983.

PSYCHOLOGICAL ASSESSMENT REPORT

Name : Lau Ho Wah LU/WCC/LA 23/82

Sex | Male

<u>Age i 28</u>

<u>Date of Birth</u>: 16.8.1955 <u>Date Assessed</u>: 30.3.1983

Referred by | R. A. Davies Esq. for Director of Legal Aid Reasons for Referral |

Mr. Lau was injured in a traffic accident on 24.9.1982. The present assessment is to investigate if he has sustained deficits in cognitive ability as a result of the injury.

Case Notes

- A. I have for reference reports from:
 - 1) Dr. T.C. Lee dated 18.2.1983.
 - 2) Dr. Fali J. Shroff dated 9.8.1983.
- B. Testing took a total of 5 hours.

20 WAH COURT, RM. 2C, 29-31 YUK SAU ST., HAPPY VALLEY, H.K. TEL, 5-756412 定圣组满油碱秀近29-31 號度畫還2C 宴

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The Interview:

Mr. Lau was accompanied by his cousin and the following information is supplied by both of them:

Mr. Lau could not remember anything of the accident except that he was working, riding on a lorry full of fruits. He could not remember anything of the subsequent accident or hospitalization. However, memory of remote events prior to the accident did not seem to have suffered unduely. He could still remember the name of the Primary School he went to in China and his life as a farmer in the village.

Mr. Lau complained of weakened memory ability since the accident. This was attested to by his cousin who lived in the same flat with him. He had become very absent-minded, forgetting what he had promised to do, leaving his personal things in the bathroom after his bath etc. He found it hard to concentrate and his attention tended to wander during conversations. His intelligence also deteriorated. In the village, he could use the abacus and do calculations, even 5 digit divisions. His cousin stated that now he could not even do something as simple as buying the food for a meal sensibly.

Moreover, his cousin also found him to be much more irritable than before, brusquely throwing things down; answering back loudly, etc. All the tenants in the same flat were afraid of him.

(Continued)

- 3 -

Mr. Lau also complained of difficulties in his vision. He felt as if there was some haze covering up his vision and he could not see clearly especially small words. He could not read newspapers. He could not watch television as he found the picture very confusing and not clear.

Behavioural Observations :

Mr. Lau appeared tired and pale when he arrived with his cousin. He had to rest a while before he could join his cousin for the initial interview. Mr. Lau spoke with a heavy Tung Kwun accent and his cousin had to interpret for me. During the testing Mr. Lau settled down gradually and his efforts improved considerably in the process. Moreover, in at least one test even though he started off badly and confused with the early items, he made considerable efforts and showed improvement in his performance in the later items.

<u>Tests Given</u>:

Wechsler Adult Intelligence Scale (WAIS)
Weigl-Goldstein Colour Form Sorting Test

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- 4 -

Examining for Aphasia
Wechsler Memory Scale
Rey Auditory Verbal Learning Test
Benton Visual Retention Test
Frostig perceptual tests
Daniels & Diack Visual Discrimination & Orientation Test
Bender Visual Motor Gestalt Test
Money's Road Map Test
Stroop Test

Test Results & Discussions:

I. Intellectual Functioning

The WAIS was the first test Mr. Lau was asked to do. The results could have been somewhat adversely affected by his not having quite settled down. He could hardly score on the very first subtest. He attained Full Scale IQ 69 which would just put him within the range of Mentally Deficient. However, judging from his overall performance in the testing session, and taking into consideration his initial confusion and that he had a different educational background, I think the Borderline Defective Range of intellectual functioning, IQ 70 to 79, would be a more appropriate description of his present intellectual ability.

(Continued)

- 5 -

Mr. Lau's performance on the Weigl was defective. The Weigl is a simple test in which the examinee is expected to categorize a set of plastic pieces by both colour and form. Mr. Lau could only categorize by colour and could not change to categorize by form. His stereotypy was such that even when an example of categorizing by form was shown to him, he could not accept it as correct and he could not understand the concept used. When pressed, he became all confused in categorizing. This inflexibility in the ability for abstract conceptualization is a significant behavioural correlate of neurological difficulties especially of the frontal lobe.

For Mr. Lau we could assume a baseline of at least Low Average intelligence, IQ 80-89, before the accident, judging from his history of finishing Primary School and working as a farmer. His present intellectual ability would represent a deterioration of about 10 IQ points. His typical stereotypy in abstract conceptualization points to organic origins.

II. Symbolic Functioning

Mr. Lau did not appear to have undue difficulties in the use of speech. After encouragement and coaxing, he showed that he could read and write Chinese characters.

(Continued)

- 6 -

III. Memory Functioning

Mr. Lau claimed complete amnesia of the accident and the subsequent hospitalization. However, he still retained memory of remote events such as his primary schooling in a village in China. His performance on immediate auditory memory span and immediate visual memory was adequate. However, in tests where the material to be learned was beyond his memory span, as in learning meaningful passages and word lists, both immediate reproductions and delayed reproductions were much weaker than average. His learning of word lists was much slower than average in repeated trials. Even in a recognition trial where Mr. Lau had only to recognize the words to be learned, a task usually easier than recall, his performance was also weaker than average. Most probably, his memory difficulties were due to interference effects in the registration process. In daily life, Mr. Lau's memory difficulties would make him absent-minded and make the learning of new material difficult to him.

IV. Spatial Perceptual Functioning

Mr. Lau had no difficulties with basic perceptual abilities of figure-ground, closure etc. but he showed difficulties in spatial perceptual functioning in his test performance. In the Bender, his reproductions showed many orientation mistakes of rotation that were significant of neurological difficulties. When his reproductions were discussed with him, he had no idea what his errors were. Even

(Continued)

- 7 -

when the orientational errors were explained to him, it took much verbal explanation as well as hand movements to make him realize his own errors. In the Road Map Test, his performance was no better than chance level, indicating difficulties in personal spatial orientation as well.

Mr. Lau had no difficulties with discriminating and matching familiar, meaningful pictorial material. His difficulty was not on the sensation level. But when asked to copy unfamiliar, meaningless visual material as in the Bender, his difficulties were revealed.

V. Emotionality and Control

Mr. Lau's cousin pointed out that Mr. Lau had become much more irritable and his manner of speaking and acting much more brusque than before. At the start of the session I have also found him surly and uncooperative. But he gradually became much more responsive and better-mannered as the session progressed. Most probably, his present mood and temperamental changes could be traced to psycho-dynamic factors caused by injury, suffering and incapacity. Mr. Lau performed adequately on tests for mental control of behaviour but it must be pointed out that in the one-to-one situation of testing, the subject's affort is brought to a maximum and environmental distractions to a minimum. In everyday life Mr. Lau had difficulties concentrating. He seldom read newspapers or watch television and he found his attention wandered in

- 88 -

(Continued)

- 8 -

conversation. These difficulties in attentional control are frequently found after brain trauma.

Present testing found Mr. Lau functioning on the Borderline Defective Range of intellectual ability. This represents general intellectual deterioration of a postulated 10 IQ points. Testing also revealed inflexibility in his ability for conceptualization which is significant of brain injury. Mr. Lau also has a recent memory deficit which makes him absent-minded in everyday life and makes learning of new material difficult to him. In spatial perceptual functioning he has revealed orientational difficulties both with personal spatial orientation and with orientational relationships of new visual stimuli in the external world. Moreover, in everyday life, Mr. Lau has exhibited changes in temperament and he has difficulties with attentional control. Besides the intellectual difficulties, these difficulties in memory ability, spatial perceptual ability and attentional control are also significant of organic damage to the brain.

Conclusion:

Difficulties are revealed in intellectual functioning, in memory functioning, in spatial perceptual functioning and in mental control. These are significant behavioural correlates of brain injury.

Janie Lee

Educational Psychologist

AFFIDAVIT OF MICHAEL KINGDON TURNBULL DATED 24TH JANUARY, 1985

Item. No. A9

IN THE SUPREME COURT OF HONG KONG
COURT OF APPEAL

ON APPLICATION FOR LEAVE TO APPEAL OF
HER MAJESTY IN COUNCIL FROM COURT OF APPEAL
CIVIL APPEAL NO. 139 OF 1984

BETWEEN

10

LAU HO WA

Applicant

and

YAU CHI BIU

Respondent

I, MICHAEL KINGDON TURNBULL, in the employ of the Hong Kong Government, Department of Legal Aid, 19th floor, Sincere Building, 173 Des Vouex Road, Central, Hong Kong, do take oath and say as follows:-

- 1. I am the Legal Aid Officer acting on behalf of the Applicant herein and I have the conduct of this case on his behalf.
 - 2. I have taken Counsel's advice and upon considering the same verily believe that there are valid and sustainable grounds of Appeal to Her Majesty in Council against the Judgment of the Learned Court of Appeal

(Continued)

following the hearing of Civil Appeal No. 139 of 1984. A copy of the said judgment which was delivered on 21st December 1984 is exhibited hereto and marked "MKT-1".

The said judgment was delivered following consderation of arguments of learned Counsel on 8th Novembr 1984 and the contents of the Bundle of Appeal, a copy of the latter is exhibited hereto and marked "MKT-2".

10

- 4. A copy of the Notice of Motion which was filed in the Supreme Court of Hong Kong in the Court of Appeal on 11th Jnauary 1984 is exhibited hereto and marked "MKT-3". The said Notice of Motion sets out the grounds of the application and particulars thereof referred to in paragraph 2 herein.
- 5. I humbly pray for an order in the terms of the said Application.

20

SWORN this 24th day of January 1985) (Sgd.) at Islands District Office City &) Michael N.T. Administration.) Kingdon Turnbull

Before me,

(Sgd.)
(Ming Kay-chuen)
A Commissioner for oaths

This Affidavit is filed on behalf of the Applicant.

MEDICAL REPORT BY DR. NG SHI HON DATED 27TH

Item. No. R1

AND AND CONTRACTOR

DR. NG SHI HON

Rm. 708; Champion Building, 301-309, Nathan Rd., Kowloon, Tel.: 3-327773

汉 27th Jan., 1984.

Your ref: DBG/NC/1056/83/HL Hampton, Winter and Glynn Shell House, 6th floor, 24 Queen's Road Central, HCNG &CNG.

Dear Sir,

Re: Chinese male LAU HC WAH, aged 31 years

Thank you for your information of the above named sent to me on the 17-1-84. Lau Ho Wah was attended by me on the 26-1-84.

From the information supplied, it was stated that Lau Ho Wah fell from the back of a moving lorry turing the course of his employment in September, 1982 and was subsequently admitted into Queen Mary Hospital for one month, being in a drowsy state for more than a few days. Computerized tomographic scanning of the brain (C.T. scan) on 28-2-82 showed feature of cerebral oedema. A second C.T. scan called for by Dr. Shroff in August, 1983 showed 'ocalised brain atrophy in a part of the right frontal lobe.

Lau HO Wah has complete amnesia (loss of recall) for the accident and his stay at Queen Mary Hospital. He could not recall clearly events happening just before the accident as well (i.e. presence of 'retrograde amnesia'). Meanwhile he was complaining of on and off headache and dizziness with weakness of left arm and leg, poor memory and concentration.

Neurological examination: The positive findings were as follows:

a) Higher mental & intellectual functions -

Higher mental & intellectual functions He had difficulties in achieving the tests for the
following: thought processes, orientation of time,
place & space, calculation, immediate, recent and
distant memories.
However, occasionally he could score these tests
correctly when he could keep himself concentrated at
the task.

(Continued)

DR. NG SHI HON

Rm. 706, Champion Building, 301-309, Nathan Rd., Kowloon. Tel.: 3-327773

- 2 -

LAU HO WAH



英國皇家內科

- b) Mild weakness of left upper and lower limbs of an upper motor neurone pattern (i.e. due to brain origin rather than to peripheral nerves); power grade 4 (where grade-5=normal, grade 3=can just overcome gravity and
- grade O=complete paralysis).
 c) Absence of significant 'primitive reflexes' that are usually present in patients with severe and extensive cerebral frontal lobe damage.

Impression : In view of the considerable period of post-traumatic amnesia (i.e. period from the moment of impact to the restoration of continuous awareness) moment of impact to the restoration of continuous awarene of more than a few days, the presence of retrograde amnesia, and C.T. scan showing cerebral oedema initially and then right frontal lobe atrophy about one year later, it is no doubt that Lau Ho Wain had sustained a severe head injury in September, 1982.

The average incidence of post-traumatic epilepsy for closed head injury is about 5%. The absence of convulsion initially and in the subsequent 18 months in this case will make the possibility even less than

In addition to the right frontal lobe damage, the so-called 'cost-concussional/traumatic syndrome' (symptom complex of headache, dizziness, poor memory and concentration) is also contributing to his present concentration) is also contributing to his present impaired intellectual and mental state. The mild weakness of his left arm and leg is most likely to be permanent. This together with his present intellectual and mental impairment would take him a loss of capacity to work of the order of at least 50 to 60 %.

incorporate of activate to the management of time patients usually show improvement from the post-traumatic syndrome but the period of convalescence may last as long as three years. Hence, although Lau Ho Wah would surely have some intellectual and contain definit normanishing in the contains. intellectual and mental deficit permanently, it would be difficult to commit his cresent degree of inceracity to be permanent without a reassessment 18 months later.

DR. NG SHI HON

Rm. 705, Champion Building, 301-309, Nathan Rd., Kowloon, Tel:: 3-327773

- 3 -

Yours sincerely,

Dr. NG 3hi Hon 7.3.B.S.(5.7.) M.R.S.P.(U.E.)

LAU HO WAH

Thank you for your attention.

Item. No. R1

| SICK LEAVE CER | 明書 |
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In the Privy Council

ON APPEAL FROM THE COURT OF APPEAL OF HONG KONG

BETWEEN

LAU HO WAH - - - - - - - - - - - Appellant (Applicant)

AND

YAU CHI BIU - - - - - - Respondent

RECORD

DIRECTOR OF LEGAL AID

Solicitors for the Appellant (Applicant)

HAMPTON, WINTER & GLYNN Solicitors for the Respondent