

Freedom of Information Act 2000 (Section 50)

Decision Notice

Date: 23 November 2009

Public Authority:British Broadcasting CorporationAddress:2252 White City201 Wood LaneLondonW12 7TS

Summary

The complainant made an information request to the British Broadcasting Corporation (the "BBC") for information about the salary and expenses of a named BBC presenter. The BBC refused to provide the requested information claiming that it was outside the scope of the Freedom of Information Act ("the Act") because it was held for the purposes of journalism, art or literature. Although all of the requested information was deemed to fall outside of the Act, the BBC did advise the complainant that the salary details had already been voluntarily disclosed by the presenter and indicated where that information could be found. The Commissioner focussed his decision on the outstanding information about expenses and has concluded that it is held to a significant extent for the purpose of journalism, art or literature. Therefore the BBC was not obliged to comply with Parts I to V of the Act.

The Commissioner's Role

1. The Commissioner's duty is to decide whether the BBC has complied with its duties under the Freedom of Information Act 2000 (the "Act"). This Notice sets out his decision.

The Request

2. On 21 May 2009 the complainant requested the following information from the BBC:



"I am formally requesting the BBC, under the Freedom of Information Act 2000, to publish the salary of Miss Carrie Gracie (the BBC News presenter) and all receipts she has claimed for as expenses to the BBC for the last four years."

3. The BBC responded on 2 July 2009 explaining that the requested information was derogated from the Act. However, the complainant was advised that the salary information had already been placed in the public domain voluntarily by the presenter, outside of the Act. The expenses details were not disclosed for the reason that they were part of the,

"...costs involved in creating its output, including expenses incurred by talent."

4. The BBC outlined its disagreement with a previous decision of the Commissioner (FS50085710) that information about payments made to talent, which included expenses, fell within the Act. It also explained that even if the information was subject to the Act it would be likely to be exempt under section 40(2). This was on the basis that the individual involved would not have expected this information to be divulged.

The Investigation

Scope of the case

- 5. On 10 July 2009 the complainant contacted the Commissioner to complain about the way his request for information had been handled. The complainant specifically asked the Commissioner to consider the following point:
 - that the BBC had refused to provide the expenses information, despite the Commissioner's previous decision in this matter as detailed by the BBC.
- 6. The Commissioner has confined his decision to the expenses information as the complainant was advised where the salary information could be found in the public domain and he did not complain about that information.

Chronology

7. As mentioned above the complainant asked the Commissioner to consider the fact that the BBC had refused to provide the information about expenses despite an earlier decision that he had made which found that details of payments to talent were not derogated. Given the similarity between the cases it is understandable that the complainant has highlighted this as a matter of concern. However, it is important to clarify that the Commissioner must consider each complaint on a case by case basis and is not bound by his earlier decisions. Therefore he may not have made the same decision in this case as in the one referenced by the complainant. In any event, the decision referred to by the BBC was made prior to two High Court cases involving the application of the derogation by the BBC.



- 8. On 2 October 2009 the High Court handed down its judgments in the two cases and both cases found in favour of the BBC. As will be explained in more detail below, the cases considered similar information to that requested by the complainant and concluded that it was derogated. The High Court decisions are binding on the Commissioner and therefore he has applied the findings of the two judgments to the facts of this case.
- 9. In view of the above and having reviewed the request and the correspondence supplied by the complainant, the Commissioner decided that it was not necessary to contact the BBC for further information or arguments regarding its handling of the request.

Analysis

Substantive Procedural Matters

Jurisdiction

- 10. Section 3 of the Act states:
 - "3. (1) In this Act "public authority" means (b).... any body...which (i) is listed in Schedule 1....."

The entry in relation to the BBC at Schedule 1, Part VI reads:

"The British Broadcasting Corporation, in respect of information held for purposes other than those of journalism, art or literature"

Section 7 of the Act states:

"7. – (1) Where a public authority is listed in Schedule 1 only in relation to information of a specified description, nothing in Parts I to V of this Act applies to any other information held by the authority".

The BBC has argued that the construction of sections 3, 7 and Schedule 1 means that the BBC is not a public authority where it holds the requested information for the purposes of journalism, art or literature. Consequently, the Commissioner would not have jurisdiction to issue a decision notice given the wording of section 50.

11. This issue has been considered by the House of Lords in the case of Sugar v BBC¹. By a majority of 3:2, the Lords found in favour of the Appellant, Mr Sugar , in concluding that the Commissioner does have jurisdiction to issue decision notices regardless of whether the information that has been requested is

¹ Sugar v BBC [2009] UKHL 9



derogated. The Commissioner adopts the reasoning set out by Lord Hope at paragraphs 54 and 55 where he said:

"54. Section 7(1) says that where a public authority is listed in Schedule 1 only in relation to information of a specified description, nothing in Parts I to V of the Act applies to any other information held by the authority. What it does not say is that, in that case, the authority is a hybrid – a "public authority" within the meaning of the Act for some of the information that it holds and not a "public authority" for the rest. The technique which it uses is a different one. Taking the words of the subsection exactly as one finds them, what it says is that nothing in Parts I to V of the Act applies to any other "information" held by "the authority". This approach indicates that, despite the qualification that appears against its name in Schedule 1, the body is a public authority within the meaning of the Act for all its purposes. That, in effect, is what section 3(1) of the Act provides when it says what "public authority" means "in this Act". The exception in section 7(1) does not qualify the meaning of "public authority" in section 3(1). It is directed to the information that the authority holds on the assumption that, but for its provisions, Parts I to V would apply because the holder of the information is a public authority."

55. The question whether or not Parts I to V apply to the information to which the person making the request under section 1(1) seeks access depends on the way the public authority is listed. If its listing is unqualified, Parts I to V apply to all the information that it holds. If it is listed only in relation to information of a specified description, only information that falls within the specified description is subject to the right of access that Part I provides. But it is nevertheless, for all the purposes of the Act, a public authority".

- 12. Therefore, the Commissioner has jurisdiction to issue a decision notice on the grounds that the BBC remains a public authority. Where the information is derogated, the Commissioner considers that the BBC has no obligations to comply with Parts I to V in respect of that information.
- 13. The Commissioner will first determine whether the request is for information held for the purposes of journalism, art or literature and if therefore the BBC is required to comply with Parts I to V in respect of the request.

Derogation

- 14. The scope of the derogation has been considered by the High Court in the cases of the BBC v Steven Sugar and the Information Commissioner [EW2349]² and
- the BBC v the Information Commissioner [EW2348].³ In both decisions Mr Justice Irwin stated:

² BBC v Steven Sugar & The Information Commissioner [2009] EWHC 2349 (Admin)

³ BBC v The Information Commissioner [2009] EWHC 2348 (Admin)



"My conclusion is that the words in the Schedule mean the BBC has no obligation to disclose information which they hold to any significant extent for the purposes of journalism, art or literature, whether or not the information is also held for other purposes. The words do<u>not</u> mean that the information is disclosable if it is held for purposes distinct from journalism, art or literature, whilst it is also held to any significant extent for those purposes. If the information is held for mixed purposes, including to any significant extent the purposes listed in the Schedule or one of them, then the information is not disclosable." (para 65 EA2349 and para 73 EW2348).

- 15. The Commissioner interprets the phrase "to any significant extent", when taken in the context of the judgment as a whole, to mean that where the requested information is held to a more than trivial or insignificant extent for journalistic, artistic or literary purposes the BBC will not be obliged to comply with Parts I to V of the Act. This is the case even if the information is also held for other purposes.
- 16. For completeness, the Commissioner considers that where information is held for non-journalistic/artistic/literary purposes and is only held to a trivial or insignificant extent for the purposes listed in Schedule 1, then the BBC will be obliged to comply with its obligations under Parts I to V of the Act.
- 17. Thus, provided there is a relationship between the information and one of the purposes listed in Schedule 1, then the information is derogated. This approach is supported by Mr Justice Irwin's comments on the relationship between operational information, such as programme costs and budgets, and creative output:

"It seems to me difficult to say that information held for 'operational' purposes is not held for the 'purposes of journalism, art or literature." (para 87 EW2348)

- 18. The information relevant to the request need not be journalistic, artistic or literary material itself. As explained above all that needs to be established is whether the requested information is held to any significant extent for one or more of the derogated purposes of art, literature or journalism.
- 19. The two High Court decisions referred to above related to information falling within the following categories:
 - · Salaries of presenters / talent
 - Total staff costs of programmes
 - Programme budgets
 - · Programme costs
 - · Payments to other production companies for programmes
 - · Payments to secure coverage of sporting events and other events
 - · Content of programmes / coverage of issues within programmes



In relation to all of the above Mr Justice Irwin found that the information was held for operational purposes related to programme content and therefore to a significant extent for the purposes of journalism, art or literature.

- 20. The expenses information requested in this case is similar to some of the information considered in the High Court cases. The Commissioner accepts the finding in the High Court judgments and in his view information about the expenses of BBC presenters is operational information, like programme costs, which has a relationship to its creative output. He can find nothing to justify different findings to those of the High Court in this case and is satisfied that it is held to a significant extent the purpose of journalism, art or literature.
- 21. As the Commissioner's finding is that the requested information is derogated it has not been necessary to go on to consider section 40(2).
- 22. In view of the above, the Commissioner has found that the BBC was not obliged to comply with Parts I to V of the Act. .

The Decision

23. The Commissioner's decision is that as the expenses information is held for the purposes of journalism, art or literature the BBC was not obliged to comply with Part I to V of the Act in this case.

Steps Required

24. The Commissioner requires no steps to be taken.



Right of Appeal

25. Either party has the right to appeal against this Decision Notice to the Information Tribunal. Information about the appeals process may be obtained from:

Information Tribunal Arnhem House Support Centre PO Box 6987 Leicester LE1 6ZX

Tel: 0845 600 0877 Fax: 0116 249 4253 Email: <u>informationtribunal@tribunals.gsi.gov.uk</u>. Website: <u>www.informationtribunal.gov.uk</u>

If you wish to appeal against a decision notice, you can obtain information on how to appeal along with the relevant forms from the Information Tribunal website.

Any Notice of Appeal should be served on the Tribunal within 28 calendar days of the date on which this Decision Notice is served.

Dated the 23rd day of November 2009

Signed

Jo Pedder Senior Policy Manager

Information Commissioner's Office Wycliffe House Water Lane Wilmslow Cheshire SK9 5AF



Legal Annex

General Right of Access

Section 1(1) provides that -"Any person making a request for information to a public authority is entitled –

(a) to be informed in writing by the public authority whether it holds information of the description specified in the request, and

(b) if that is the case, to have that information communicated to him." **Section 1(2)** provides that -

"Subsection (1) has the effect subject to the following provisions of this section and to the provisions of sections 2, 9, 12 and 14."

Section 1(3) provides that – "Where a public authority –

- (a) reasonably requires further information in order to identify and locate the information requested, and
- (b) has informed the applicant of that requirement,

the authority is not obliged to comply with subsection (1) unless it is supplied with that further information."

Section 1(4) provides that -

"The information –

- (a) in respect of which the applicant is to be informed under subsection (1)(a), or
- (b) which is to be communicated under subsection (1)(b),

is the information in question held at the time when the request is received, except that account may be taken of any amendment or deletion made between that time and the time when the information is to be communicated under subsection (1)(b), being an amendment or deletion that would have been made regardless of the receipt of the request."

Section 1(5) provides that -

"A public authority is to be taken to have complied with subsection (1)(a) in relation to any information if it has communicated the information to the applicant in accordance with subsection (1)(b)."

Section 1(6) provides that -

"In this Act, the duty of a public authority to comply with subsection (1)(a) is referred to as "the duty to confirm or deny"."